# Selective Internal Radiation Therapy for Hepatic Metastases using SIR-Spheres®

March 2002

MSAC application 1034

Assessment report

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The Medical Services Advisory Committee is an independent committee which has been established to provide advice to the Commonwealth Minister for Health and Ageing on the strength of evidence available on new and existing medical technologies and procedures in terms of their safety, effectiveness and cost-effectiveness. This advice will help to inform Government decisions about which medical services should attract funding under Medicare.

This report was prepared by the Medical Services Advisory Committee with the assistance of Kirsten Howard, Epidemiologist and Dr. Martin Stockler, Senior Lecturer from The NHMRC Clinical Trials Centre, University of Sydney, The report was endorsed by the Commonwealth Minister for Health and Ageing on 28 August 2002.

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MSAC recommendations do not necessarily reflect the views of all individuals who participated in the MSAC evaluation.

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The Medical Services Advisory Committee (MSAC) has reviewed the use of Selective Internal Radiation Therapy (SIRT) using SIR-Spheres, which is a therapeutic 'device' for the treatment of non-resectable hepatic metastases secondary to colorectal cancer in the absence of extrahepatic metastases and in combination with hepatic arterial chemotherapy or systemic chemotherapy.

## The procedure

SIR-Spheres are intended for implantation into malignant liver tumours for the purpose of selectively delivering high doses of ionising radiation to the tumour. This is accomplished by injecting the SIR-Spheres into the hepatic artery. This requires catheterisation of the hepatic artery either via a trans-femoral catheter or a permanently implanted hepatic artery port with catheter.

Following embolisation into the hepatic artery by catheter, SIR-Spheres become concentrated in the microvasculature of liver cancer where they have a local radiotherapeutic effect. Some limited concurrent damage to healthy tissue is caused by radiation that escapes tumour boundaries and from SIR-Spheres that fail to become embedded in tumours. Following decay of the yttrium-90, the inert resin microspheres remain implanted in tissue. As tumours within the liver derive their blood supply almost exclusively from the hepatic artery, the SIR-Spheres are preferentially delivered in greater amounts to the tumour rather than the normal liver parenchyma which is supplied by both the hepatic artery and the portal vein.

## Medical Services Advisory Committee – role and approach

The Medical Services Advisory Committee (MSAC) is a key element of a measure taken by the Commonwealth Government to strengthen the role of evidence in health financing decisions in Australia. MSAC advises the Commonwealth Minister for Health and Ageing on the evidence relating to the safety, effectiveness and cost-effectiveness of new and existing medical technologies and procedures, and under what circumstances public funding should be supported.

A rigorous assessment of the available evidence is thus the basis of decision making when funding is sought under Medicare. A team from the NHMRC Clinical Trials Centre was engaged to conduct a systematic review of literature on Selective Internal Radiation Therapy. A supporting committee with expertise in this area then evaluated the evidence and provided advice to MSAC.

## MSAC's assessment of Selective Internal Radiation Therapy

The Medical Services Advisory Committee (MSAC) has reviewed the use of Selective Internal Radiation Therapy (SIRT) using SIR-Spheres, which is a therapeutic 'device' for the treatment of non-resectable hepatic metastases secondary to colorectal cancer in the absence of extrahepatic metastases and in combination with hepatic arterial chemotherapy or systemic chemotherapy.

#### **Clinical need**

Colorectal cancer is the most common cancer reported to Australian cancer registries. In 1997, there were 11,245 new cases of colorectal cancer reported and 4,678 deaths, accounting for approximately 14.1% of all new cases of cancer (excluding non-melanocytic skin cancer) and 13.8% of cancer related deaths (Australian Institute of Health and Welfare & Australasian Association of Cancer Registries 2000). It is estimated that approximately fifty per cent of patients with colorectal cancer will develop liver metastases within 5 years (Clinical Oncology Society of Australia & Australian Cancer Network 1999; Taylor 1996). In 20-40% of patients, this will be the only (or first) site of failure (Clinical Oncology Society of Australia & Australian Cancer Network 1999).

#### Safety

#### Patient safety

The randomised trials provided limited information regarding patient safety. It appears that the addition of SIRT to hepatic arterial chemotherapy may result in additional elevation of hepatic enzymes (alkaline phosphatase), and more nausea and vomiting than hepatic arterial chemotherapy alone. Similarly, the addition of SIRT to systemic chemotherapy appeared to result in more grade 3-4 toxicities (including granulocytopenia and mucositis) than did systemic chemotherapy alone. There was one treatment related death in the combined treatment arm of this trial.

In both trials, patients often experienced abdominal pain after administration of SIR-Spheres which in some cases required narcotic analgesia.

Uncontrolled evidence suggests that administration of SIRT (with SIR-Spheres, or other similar agents) will commonly result in liver enzyme elevations, fatigue and lethargy, anorexia, nausea and/or vomiting and gastrointestinal symptoms. There have been a small number of cases of fatal radiation hepatitis, gastrointestinal ulceration or haemorrhage, and radiation pneumonitis.

#### Personnel safety

From data reported in a small number of publications, and from information supplied by the applicant, it would appear that the doses of radiation delivered to personnel are reasonably low and are within ranges recommended by the National Occupational Health and Safety Commission (National Occupational Health and Safety Commission 1995). The current NHMRC recommendation (National Health and Medical Research Council 1984) on discharge of patients who have undergone treatment with radioactive substances specifies that discharge should not occur until total activity remaining in the patient has dropped to 1200 MBq. As the dose of yttrium-90 delivered for SIRT is 2-4 GBq, and the half life is >67 hours, the patient may require a 3-4 day hospital stay (not the one day stay as in the randomised controlled trial) before reaching this level.

#### Effectiveness

There is some evidence that addition of SIRT to hepatic chemotherapy may be more effective than hepatic chemotherapy alone in terms of tumour response in the liver. Depending upon how tumour response was measured, there may have been improved tumour response rates for patients receiving treatment with SIRT. When tumour response was measured by changes in tumour volume, there was a trend favouring SIRT plus hepatic chemotherapy in tumour response. When tumour response was measured by tumour area, patients treated with hepatic chemotherapy plus SIRT had significantly more tumour responses than patients treated with chemotherapy alone.

There is also some evidence to suggest that the addition of SIRT to systemic chemotherapy offered improvements in tumour response as measured by both 'first integrated response' and 'best confirmed response'

The addition of SIRT to hepatic chemotherapy may prolong time to disease progression in the liver. Depending upon how disease progression in the liver was measured, there may have been a benefit for patients receiving SIRT in addition to hepatic chemotherapy. If disease progression was measured by tumour volume, there was a trend favouring SIRT plus hepatic chemotherapy in time to first disease progression in the liver. If disease progression was measured by tumour area, and analysed with a competing risks model, there was a significant difference favouring SIRT plus hepatic chemotherapy (p=0.033, Gray's test).

There is insufficient evidence from the trial of hepatic chemotherapy plus SIRT to determine the effect of SIRT on progression-free or overall survival. There was no statistically significant difference in overall or progression-free survival between patients treated with hepatic arterial chemotherapy and those treated with hepatic chemotherapy and SIRT. The trial, however, was insufficiently powered to detect a moderate and clinically important difference in overall and progression-free survival.

The small trial of systemic chemotherapy plus SIRT versus systemic chemotherapy alone suggested that the time to progressive disease in the combination arm was significantly longer; however, overall survival was not reported.

Quality adjusted survival was not reported in either randomised trial. Neither trial was adequately powered to detect a difference in quality of life measures.

#### **Cost effectiveness**

It is not possible to give a reliable estimate of cost per life year saved or cost per quality adjusted life year due to the lack of reliable evidence regarding benefit on these outcomes.

Using data from the original analysis, the incremental cost-effectiveness ratio for SIRT is \$38,742 per additional patient who has a response in the liver. Depending upon assumptions and the 95% confidence interval of the estimate of benefit, this might plausibly be as low as \$17,862 or as high as \$232,450 per additional patient who has a response in the liver.

Using data from the blinded re-analysis of tumour response, the incremental costeffectiveness ratio for SIRT is \$39,911 per additional patient who has a response in the liver. Depending upon assumptions and the 95% confidence interval of the estimate of benefit, this might plausibly be as low as \$18,696 or as high as \$669,017 per additional patient who has a response in the liver.

A comprehensive Australian-based assessment of costs and effects associated with systemic chemotherapy, hepatic arterial chemotherapy and SIRT is needed to provide a basis for a comparison between systemic therapy and hepatic chemotherapy with or without SIRT.

### Recommendation

Since there is currently insufficient evidence of effectiveness and cost-effectiveness for Selective Internal Radiation Therapy (SIRT) using SIR-spheres<sup>®</sup>, MSAC recommended that public funding should not be supported at this time for this procedure.

The data suggests that the treatment is reasonably safe and has anti-tumour activity. However, it is not clear whether this anti-tumour activity translates into a survival or quality of life benefit to the patient.

- The Minister for Health and Ageing accepted this recommendation on 28 August 2002 -

# Introduction

The Medical Services Advisory Committee (MSAC) has reviewed the use of Selective Internal Radiation Therapy (SIRT) using SIR-Spheres, which is a therapeutic 'device' for the treatment of non-resectable hepatic metastases secondary to colorectal cancer in the absence of extrahepatic metastases and in combination with hepatic arterial chemotherapy. MSAC evaluates new and existing health technologies and procedures for which funding is sought under the Medicare Benefits Scheme in terms of their safety, effectiveness and costeffectiveness, while taking into account other issues such as access and equity. MSAC adopts an evidence-based approach to its assessments, based on reviews of the scientific literature and other information sources, including clinical expertise.

MSAC's terms of reference and membership are at Appendix A. MSAC is a multidisciplinary expert body, comprising members drawn from such disciplines as diagnostic imaging, pathology, surgery, internal medicine and general practice, clinical epidemiology, health economics, consumer health and health administration.

This report summarises the assessment of current evidence for Selective Internal Radiation Therapy using SIR-Spheres for the treatment of non-resectable hepatic metastases secondary to colorectal cancer in the absence of extrahepatic metastases and in combination with hepatic arterial chemotherapy and systemic chemotherapy.

## **Selective Internal Radiation Therapy**

This evaluation was undertaken in response to an application for assessment of Selective Internal Radiotherapy (SIR) using SIR-Spheres, which does not currently have reimbursement under the Australian Medicare Benefits Scheme (Commonwealth Department of Health and Aged Care 2000a).

#### The Procedure

The following information is from the SIR-Spheres Product Monograph and Physician Labelling (SIRTeX Medical Ltd. 2000a; SIRTeX Medical Ltd. 2000b). SIR-Spheres are beta-emitting yttrium-90 microspheres. They have a diameter of between 20 and 40 microns. Yttrium-90 is a high-energy pure beta-emitting isotope with no primary gamma emission.

SIR-Spheres are intended for implantation into malignant liver tumours for the purpose of selectively delivering high doses of ionising radiation to the tumour. This is accomplished by injecting the SIR-Spheres into the hepatic artery. This requires catheterisation of the hepatic artery either via a trans-femoral catheter or a permanently implanted hepatic artery port with catheter.

Following embolisation into the hepatic artery by catheter, SIR-Spheres become concentrated in the microvasculature of the liver cancer where they have a local radiotherapeutic effect. Some limited concurrent damage to healthy tissue is caused by radiation that escapes tumour boundaries and from SIR-Spheres that fail to become embedded in tumours. Following decay of the yttrium-90, the inert resin microspheres remain implanted in tissue. As tumours within the liver derive their blood supply almost exclusively from the hepatic artery, the SIR-Spheres are preferentially delivered in greater amounts to the tumour rather than the normal liver parenchyma which is supplied by both the hepatic artery and portal vein.

In about 3% of patients with liver tumours there will be significant arteriovenous shunts in the tumour which means that more than 10% of the SIR-Spheres injected into the hepatic artery will pass through the liver and lodge in the lungs. As this may cause radiation damage to the lungs, a nuclear medicine break-through scan must be performed in all patients to assess this possibility. If there is greater than 10% lung shunting, then a reduction in implanted activity should be used. A standard dose of Technetium-99 labelled macroaggregated albumin (MAA) is injected either into the surgically implanted port or via the hepatic artery catheter that is used to perform the pre-treatment hepatic angiogram. The patient is then placed under a gamma camera to define areas of interest (liver and lungs). The ratio of MAA particles that pass through the liver and lodge in the lungs can then be calculated. The percentage of MAA that has escaped through the liver and lodged in the lungs is expressed as a 'lung/liver ratio'. Normally, this is less than 10%. If the lung/liver ratio is more than 10% then the amount of SIR-Spheres delivered to the patient must be reduced, using the dose reduction protocol shown in Table 1.

| Lung / Liver Ratio Activity of SIR-Spheres | Dose Reduction Recommendations      |
|--|-------------------------------------|
| < 10%                                      | Deliver full amount of SIR-Spheres  |
| 10% to 15%                                 | Reduce amount of SIR-Spheres by 20% |
| 15% to 20%                                 | Reduce amount of SIR-Spheres by 40% |
| > 20 %                                     | Do not give SIR-Spheres             |

Table 1Dose reduction protocol

#### Intended purpose

SIRT is for the treatment of non-resectable hepatic metastases secondary to colorectal cancer in the absence of extrahepatic metastases and in combination with hepatic arterial chemotherapy. SIRT has also been used to treat primary hepatocellular carcinoma, however, this indication will not be assessed in this review.

## Clinical need/burden of disease

Colorectal cancer is the most common cancer reported to Australian cancer registries. In 1997, there were 11,245 new cases of colorectal cancer reported and 4,678 deaths, accounting for approximately 14.1% of all new cases of cancer (excluding non-melanocytic skin cancer) and 13.8% of cancer related deaths (Australian Institute of Health and Welfare & Australasian Association of Cancer Registries 2000).

Premature death from colorectal cancer was responsible for an estimated 31,573 personyears of life lost before the age of 75, second only to lung cancer. Australian agestandardised incidence and mortality rates of colorectal cancer are towards the higher end of the international scale. They are generally slightly lower than those reported for New Zealand and the Czech Republic, and slightly higher than reported for the United States (Australian Institute of Health and Welfare & Australasian Association of Cancer Registries 2000).

It is estimated that approximately fifty per cent of patients with colorectal cancer will develop liver metastases within five years (Clinical Oncology Society of Australia & Australian Cancer Network 1999; Taylor 1996). In 20-40% of patients, this will be the only (or first) site of failure (Clinical Oncology Society of Australia & Australian Cancer Network 1999).

Treatment options for patients who develop hepatic metastases are discussed below.

The number of patients who undergo some treatment for hepatic metastases annually in Australia can be estimated from hospital morbidity data and ICD-9-CM codes (1997-98) and ICD-10-AM codes (1998-99, for New South Wales, Victoria, Australian Capital Territory and Northern Territory only) for primary diagnosis and principal procedures (Commonwealth Department of Health and Aged Care 2000b; Commonwealth Department of Health and Aged Care 2001). There were approximately 5000 separations for one treatment for hepatic metastases in 1997-98 and almost 3000 in New South Wales, Victoria, Australian Capital Territory and Northern Territory in 1998-99. These numbers (shown in Table 2) indicate all episodes of treatment for hepatic metastases over these time periods, and as such, a single patient may also have undergone multiple treatments. These figures indicate all separations for treatment of hepatic metastases, not only those from patients with colorectal cancer. The number of patients with hepatic metastases from colorectal cancer would therefore only be a proportion of this number.

| ICD- code | -       | Condition                                    | Year    | Table    | Hospital separations |
|-----------|---------|--|---------|----------|----------------------|
| 1977      | ICD-9   | Secondary malignant<br>neoplasm of the liver | 1997-98 | Table 11 | 4931                 |
| C787      | ICD-10* | Secondary malignant<br>neoplasm of the liver | 1998-99 | Table 11 | 2822                 |

 Table 2
 Separations for principal diagnosis of secondary malignant neoplasm of the liver

\*ICD-10 only available for NSW, Vic, ACT and NT

ICD-10 procedure codes map to the Medicare Benefits Schedule codes for procedures. MBS code 30400, 'Laparotomy with insertion of portacath for administration of cytotoxic' is the procedure code used for the placement of a reservoir for the delivery of hepatic arterial chemotherapy and SIRT. As only New South Wales, Victoria, Northern Territory and Australian Capital Territory implemented ICD-10-AM from 1 July 1998 the data in Table 3 is likely to be an underestimate of the true number of procedures over this time.

| Table 3 | Occurrences of principal procedures likely to be associated with hepatic arterial |
|---------|---|
|         | chemotherapy and SIRT   |

| ICD- code |        | Procedure                             | Year      | Table   | Total number of<br>occurrences (Public<br>and Private) |
|-----------|--------|---------------------------------------|-----------|---------|--|
| 30400-00  | ICD-10 | Ins VAD w atchmt intra-abdo vesl cath | 1999-2000 | Table 7 | 261  |

(Commonwealth Department of Health and Aged Care 2001)

## **Existing procedures**

The NHMRC Clinical Practice Guidelines for the prevention, early detection and clinical management of colorectal cancer (Clinical Oncology Society of Australia & Australian Cancer Network 1999) provide a summary of treatment options available to patients with hepatic metastases and an indication of the evidence for each option. The NHMRC revised hierarchy of evidence levels is shown in Table 6.

#### **Surgical Resection**

There are no controlled trials of liver resection in the treatment of metastatic lesions from colorectal cancer, however, retrospective assessment of the outcome of potentially resectable disease has been examined by several authors. In three studies of patients with apparently resectable lesions, three-year and five-year survival was quite low and ranged from 10-14% and 0-3%, respectively (Bines et al. 1996; Hughes et al. 1988; Wood et al. 1976). In more recent studies of highly selected patients, five-year survival following resection of hepatic metastases has been reported to be between 15 and 50 per cent (Bines et al. 1996).

While early attempts at liver resection had high rates of morbidity and mortality, the mortality of liver resection in non-cirrhotic patients is now considerably less than 5% in

most major units (Clinical Oncology Society of Australia & Australian Cancer Network 1999).

The NHMRC Clinical Practice Guidelines for colorectal cancer concluded that there was Level III evidence that patients with up to four hepatic lesions, and no evidence of extrahepatic disease, should be considered for resection provided that the lesions can safely be removed with an adequate margin (Clinical Oncology Society of Australia & Australian Cancer Network 1999).

#### Cryotherapy

A recent survey indicated that cryotherapy is generally performed as an open technique, although it has also been performed laparoscopically and percutaneously (Seifert & Morris 1999). Post-operative morbidity appears to be quite limited and mortality has been recorded as 14 in 869 (1.6%).

The comparison of cryotherapy to surgical resection is limited by the fact that few cryotherapy series have five-year survival data. Despite this, there are five-year survivors in many series (Morris et al. 1996; Onik et al. 1991; Onik et al. 1993; Shafir et al. 1996; Weaver et al. 1995; Yeh et al. 1997). There has been one small randomised trial of cryotherapy compared to liver resection, with the two treatments achieving similar results (Korpan 1997).

#### **Alcohol injection**

In a controlled trial, alcohol injection for treatment of metastatic colorectal cancer was significantly less effective than laser photocoagulation, due to the limited diffusion of alcohol within fibrous lesions (Amin, Bown, & Less 1993). Other series have described prolonged survival associated with this treatment (Giovanni & Seitz 1994).

#### Laser photocoagulation

The NHMRC Clinical Practice Guidelines for colorectal cancer indicate that while laser photocoagulation may be an easier method than cryotherapy to use percutaneously, it has to date had quite limited value due to the small volume of tissue destruction around the tip. Clinical data on both tumour marker normalisation and survival are both very limited. One prospective series indicated a 66% 'local tumour control' at six months for lesions less than 2cm in diameter, and a 35% 'local tumour control' in lesions greater than 2cm in diameter (Vogl et al. 1995).

#### Systemic chemotherapy

Systemic chemotherapy has been given to patients with advanced colorectal cancer with the aim of relieving tumour related symptoms, improving overall quality of life and prolonging survival. The standard systemic treatment for advanced colorectal cancer has become 5-fluorouracil (5FU) plus leucovorin (LV) (Clinical Oncology Society of Australia & Australian Cancer Network 1999). Despite this, a number of meta-analyses have been conducted to determine which regimen is most effective including The Advanced Colorectal Cancer Meta-analysis Project (Advanced Colorectal Cancer Metaanalysis Project 1992) and The UK National Health Service, Centre for Reviews and Dissemination (National Health Service 1997).

These data (Advanced Colorectal Cancer Meta-analysis Project 1992) indicate a highly significant increase in response rate for the combination of 5-FU plus leucovorin over 5-FU alone (23% vs 10%, p<0.0001). However, this improvement in response rate did not translate into any significant survival benefit for patients treated with the combination (11.5 months versus 11 months). The NHMRC Clinical Practice Guidelines (Clinical Oncology Society of Australia & Australian Cancer Network 1999) indicate that two trials not included in these meta-analyses demonstrated significant survival benefits (Loeffler et al. 1992; Petrioli et al. 1995).

The Advanced Colorectal Cancer Meta-analysis Project also found a similar response rate benefit for patients treated with fluorouracil plus methotrexate compared to fluorouracil alone (19% versus 10%, p<0.001). Survival was also moderately improved with the combination treatment (10.7 months versus 9.1 months, p<0.02)(Advanced Colorectal Cancer Meta-analysis Project 1992).

The NHMRC Clinical Practice Guidelines for the prevention, early detection and management of colorectal cancer (Clinical Oncology Society of Australia & Australian Cancer Network 1999) concluded that:

- There was Level II evidence that 5-FU based chemotherapy prolongs life when compared to best supportive care.
- Timing of commencement of chemotherapy in asymptomatic patients remains unclear; however, one study comparing early and delayed chemotherapy indicated a benefit in patients receiving early treatment (Level II) (Nordic Gastrointestinal Tumour Adjuvant Therapy Group 1992).
- There is Level I evidence that indicates 5-FU plus leucovorin, 5-FU plus methotrexate and continuous infusion 5-FU are all associated with improved response rates over 5-FU alone. Survival benefits may exist, but are small with no clear quality of life benefits over 5-FU alone.
- There is Level II evidence that after failure of 5-FU, second line treatment with irinotecan can prolong life and improve quality of life, compared to best supportive care.

### Hepatic Arterial Chemotherapy (HAC)

Hepatic arterial chemotherapy (HAC) involves the administration of chemotherapy agents directly into the liver. Several approaches may be used to deliver the chemotherapeutic agents: via an angiographically placed catheter into the hepatic artery; via surgically implanted infusion ports with an external pump; or via surgically implanted infusion pumps (Vauthey et al. 1996). The NHMRC Clinical Practice Guidelines for colorectal cancer have indicated that HAC has two theoretical advantages over systemic chemotherapy for treatment of liver metastases in patients with no extrahepatic disease:

1. Delivery of hepatic artery chemotherapy leads to a mean hepatic drug concentration approximately 15 times greater than can be achieved with intravenous therapy

(Vauthey et al. 1996). Established liver metastases derive blood supply mainly from the hepatic artery rather than the portal vein.

2. Floxuridine (FUDR) is subject to extensive first pass metabolism, with 94-99% of the administered dose being metabolised by the liver during the first pass. This reduces systemic concentrations and subsequent toxicity and allows large doses to be given with relatively minimal systemic effects (Hohn et al. 1989; Kemeny et al. 1987; Martin et al. 1990; Vauthey et al. 1996). However, it also means that HAC with FUDR is suitable only for patients with no extrahepatic metastases. Patients also need to be sufficiently well to undergo a laparotomy for catheter insertion (Clinical Oncology Society of Australia & Australian Cancer Network 1999).

#### Safety

While hepatic artery catheterisation is a minimally invasive technique relative to the laparotomy required for port and pump implantation, complications associated with repeated arterial puncture and poor patient acceptance due to frequent catheter migration and the need for hospitalisation and confinement to bed, have limited its use (Vauthey et al. 1996).

Arterial ports combined with an external pump have also been used, and require a laparotomy (and therefore attendant risks) for arterial cannulation, as does the placement of an infusion pump. The main complication with an external port is the 30-42% incidence of catheter or hepatic artery thrombosis, which may necessitate stopping treatment in up to 20% of patients (Vauthey et al. 1996). The surgical implantation of the infusion pump, in comparison to an arterial port with an external pump, has a low complication rate if the procedure is performed by an experienced surgeon who has performed the procedure at least 10 times (Vauthey et al. 1996).

The NHMRC Clinical Practice Guidelines indicate that implantation of a port or infusion pump routinely includes a cholecystectomy to prevent chemical cholecystitis. It is also noted that particular attention should be paid to the ligation of hepatic artery branches which perfuse the stomach, common bile duct and pancreas, to prevent complications such as peptic ulceration resulting from inadvertent perfusion of the stomach with chemotherapeutic agents (Clinical Oncology Society of Australia & Australian Cancer Network 1999).

**Technical complications:** Vauthey et al (Vauthey et al. 1996) have summarised the technical complications reported to be associated with infusion pump implantation (rather than an arterial port with an external pump):

- An operative mortality rate of less than 1%
- Mechanical problems relating to the catheter, including misplacement, breakage, leakage, kinks or migration (5%)
- Vascular complications such as catheter-artery thrombosis or aneurysm formation (5%)
- Problems associated with implantable pumps (including pump pocket haematoma, seroma or infection or a pump malfunction) (8%)

**Toxicity related complications:** The NHMRC Clinical Practice Guidelines for colorectal cancer indicate that the toxicities from intrahepatic chemotherapy may include sclerosing cholangitis (10%), which may be fatal in some cases, chemical gastritis or cholecystitis (10%), peptic ulceration (5%), and diarrhoea (5%).

#### Efficacy

**Treatment response and survival:** A meta-analysis of six of the seven randomised controlled trials published between 1988 and 1993 comparing HAC with intravenous chemotherapy has shown a significantly higher tumour response rate in favour of HAC (41% compared to 14%). The effect of HAC on survival is less clear – when the data from studies comparing HAC with intravenous chemotherapy were pooled, no significant survival benefit was observed (Meta-analysis Group in Cancer 1996). Many of these studies, although randomised controlled trials, had a sample size that was insufficient to detect any meaningful survival advantage.

Both trials comparing HAC with a control group (managed with supportive care that could include intravenous chemotherapy) indicated a significant survival benefit for HAC (Allen-Mersh, Earlam, & Fordy 1994; Rougier et al. 1997). However, only 20% (Allen-Mersh, Earlam, & Fordy 1994) and 50% (Rougier et al. 1997) of control group patients received any chemotherapy.

The relative benefits of systemic versus hepatic chemotherapy after potentially curative resection of liver metastases are uncertain. A trial of hepatic arterial floxuridine plus systemic 5-fluorouracil (5-FU) plus leucovorin (LV) versus systemic 5-FU/LV was shown to result in improved 2-year hepatic disease-free (90% versus 60%, p<.001) and 2-year overall survival rates (86% versus 72%, p=.03), but did not show a significant statistical difference in progression-free survival at 2 years (57% versus 42%, p=.07) or in overall survival, compared to systemic 5-FU therapy alone (Kemeny et al. 1999). A British trial (Allen-Mersh et al. 2000) using the same regimens as Kemeny et al found no significant difference in overall survival between treatment arms. There was also no significant difference in the proportion of patients on each treatment who died of extrahepatic disease progression. A German trial (Lorenz & Muller 2000) compared hepatic arterial 5-fluorouracil (5-FU) plus leucovorin versus hepatic arterial floxuridine versus systemic 5-FU/LV. This trial found no difference in overall survival between treatment arms. In a pairwise comparison of treatment arms, time to progression was only significantly different for the comparison between hepatic 5-FU/LV versus hepatic floxuridine (p=.0332). A significant increase in intrahepatic response (within 6 months) was observed with hepatic 5-FU/LV versus systemic 5-FU/LV (45.0% versus 19.7%, p=.0099) and between hepatic floxuridine versus systemic 5-FU/LV (43.2% versus 19.7%, p=.0195).

Additional studies are required to resolve these conflicting results on patient survival.

**Symptom palliation and quality of life:** The effects of HAC on symptom improvement and quality of life is important, particularly in the situation where survival benefits are less clear. Unfortunately, only limited information is available in this area, and only one of the randomised trials comparing HAC with intravenous chemotherapy reported any quality of life comparisons. There was no difference reported in symptoms, performance status or weight gain between the two treatments (Martin et al. 1990).

The NHMRC Clinical Practice Guidelines for the prevention, early detection and management of colorectal cancer (Clinical Oncology Society of Australia & Australian Cancer Network 1999) concluded that there was:

- Level II evidence that hepatic arterial chemotherapy (HAC) has shown survival benefits compared with best supportive care
- Level I evidence that HAC shows higher tumour response rates, but little evidence of a survival advantage over systemic chemotherapy
- Level I evidence that HAC and intravenous chemotherapy should be regarded as acceptable alternative treatments

### Comparator

The Supporting Committee decided that hepatic arterial chemotherapy and systemic chemotherapy were possible comparators for this review. For the purposes of the economic evaluation, hepatic arterial chemotherapy is the comparator.

### Marketing status of the device

The device used for the delivery of SIRT, SIR-Spheres, is listed with the Australian Therapeutic Goods Administration, with the Listing number of AUST L 63369.

### Current reimbursement arrangement

Selective Internal Radiation Therapy using SIR-Spheres is not currently reimbursed, and there is no Medicare Benefits Schedule item number for this procedure.

# Approach to assessment

In undertaking this assessment, the literature available on Selective Internal Radiation Therapy (SIRT) and its comparators was reviewed, and a supporting committee was convened to evaluate the evidence surrounding the procedure and provide expert advice.

## **Review of literature**

The medical literature was searched to identify relevant studies and reviews. Searches were conducted in the following databases until 13 February 2001.

- Medline/Pre-Medline
- National Library of Medicine Health Services Research Databases
  - HealthSTAR
  - HSRProj
  - HSTAT
  - HSR Tools
  - DIRLINE
- CINAHL
- Australasian Medical Index (AMI)
- Biological Abstracts
- EBM Reviews Best Evidence
- Current Contents
- EMBASE
- The Cochrane Library
- ISTAHC Online database (International Society for Technology Assessment in Health Care)
- NHS Centre for Reviews and Dissemination databases
  - DARE (Database of Abstracts and Reviews of Effectiveness)
  - EED (Economic Evaluation Database)
  - HTA (Health Technology Assessment Database)

The search strategy shown in Table 4 was used to identify papers in Medline/Pre-Medline, HealthSTAR, CINAHL, Biological Abstracts and Best Evidence.

| Table 4 | Search strategy   |
|---------|---|
| 1       | SIRT.mp. [mp=title, abstract, registry number word, mesh subject heading]                                   |
| 2       | 'selective internal radiotherapy'.mp. [mp=title, abstract, registry number word, mesh subject heading]      |
| 3       | 'selective internal radiation therapy'.mp. [mp=title, abstract, registry number word, mesh subject heading] |
| 4       | microspheres.mp. [mp=title, abstract, registry number word, mesh subject heading]                           |
| 5       | yttrium.mp. [mp=title, abstract, registry number word, mesh subject heading]                                |
| 6       | 4 and 5   |
| 7       | 1 or 2 or 3 or 6  |
| 8       | ('hep\$' or 'liver').mp. [mp=title, abstract, registry number word, mesh subject heading]                   |
| 9       | 7 and 8   |
| 10      | limit 9 to human  |

A broad search using the terms ['SIRT' OR 'selective internal radiotherapy' OR 'selective internal radiation therapy'] was used for the NHS databases.

Electronic searching also included the Internet sites of the following health technology assessment groups and information sources (Table 5).

| · · · · · · · · · · · · · · · · · · ·   |                                    |
|---|------------------------------------|
| Organisation  | Website                            |
| International Society for Technology Assessment in Health Care (ISTAHC)                 | www.istahc.org                     |
| International Network of Agencies for Health Technology Assessment (INAHTA)             | www.inahta.org                     |
| British Columbia Office of Health Technology Assessment (Canada)                        | www.chspr.ubc.edu.ca/bcohta        |
| Swedish Council on Technology Assessment in Healthcare (Sweden)                         | www.sbu.se                         |
| Oregon Health Resources Commission (US)   | www.ohppr.state.or.us/ohrc         |
| Minnesota Department of Health (US)   | www.health.state.mn.us             |
| ECRI(US)  | www.ecri.org                       |
| Canadian Coordinating Office for Health Technology Assessment (Canada)                  | www.ccohta.ca                      |
| Alberta Heritage Foundation for Medical Research (Canada)                               | www.ahfmr.ca                       |
| Veteran's Affairs Research and Development Technology Assessment Program (US)           | www.va.gov/resdev                  |
| National Library of Medicine Health Service/Technology Assessment text (US)             | http://text.nlm.nih.gov            |
| NHS Health Technology Assessment (UK)   | www.hta.nhsweb.nhs.uk              |
| Office of Health Technology Assessment Archive (US)                                     | www.wws.princeton.edu/~ota         |
| Institute for Clinical Evaluative Science (Canada)                                      | www.ices.on.ca                     |
| Conseil d'Evaluation des Technologies de la Sante du Quebec (Canada)                    | www.cets.gouv.qc.ca                |
| National Information Centre of Health Services Research and Health Care Technology (US) | www.nlm.nih.gov/nichsr/nichsr.html |
| Finnish Office for Health Technology Assessment (FinOHTA) (Finland)                     | www.stakes.fi/finohta/linkit/      |
| Institute Medical Technology Assessment (Netherlands)                                   | www.bmg.eur.nl/imta/               |
| AETS (Spain)  | www.isciii.es/unidad/aet/cdoc.htm  |
| Agence Nationale d'Accreditation et d'Evaluation en Sante (France)                      | www.anaes.fr                       |

 Table 5
 Health Technology Assessment Organisations

The applicant's submission was also reviewed to ensure that all relevant literature was included. This search yielded one additional paper (the journal was not indexed by any of the databases above). Additional published and unpublished data was also provided by

the applicant in their submission and at various times throughout the process of evaluation.

The evidence presented in the selected studies was assessed and classified according to the National Health and Medical Research Council (NHMRC) revised hierarchy of evidence which is shown in Table 6.

| Table   | 6 Designation of levels of evidence   |
|---------|---|
| Ι       | Evidence obtained from a systematic review of all relevant randomised controlled trials.  |
| II      | Evidence obtained from at least one properly designed randomised controlled trial.  |
| III-1   | Evidence obtained from well-designed pseudo-randomised controlled trials (alternate allocation or some other method).   |
| III-2   | Evidence obtained from comparative studies with concurrent controls and allocation not randomised (cohort studies), case-control studies or interrupted time series with control group. |
| III-3   | Evidence obtained from comparative studies with historical control, two and more single arm studies or interrupted time series without a parallel control group.                        |
| IV      | Evidence obtained from case series, either post-test or pre-test and post-test.   |
| Source: | NHMRC National Health and Medical Research Council, A guide to the development, implementation and evaluation of clinical   |

#### practice guidelines. Canberra: NHMRC, 1999.

#### **Eligibility of studies**

A total of 77 abstracts were identified in the literature searches, of which 19 were duplicate records retrieved from different databases. The 58 non-duplicate abstracts and one additional paper from the manufacturer's application (total 59) were evaluated to exclude those definitely not eligible. The criteria below were applied to each abstract. The full article was retrieved for 21 abstracts which were either potentially eligible or eligible, or for which there was insufficient information available in the abstract to assess eligibility. The one additional reference that was identified from the manufacturer's application was also examined, as was the one additional reference supplied by the applicant. A total of 23 full papers were evaluated using the same eligibility criteria as used for the abstracts.

#### Eligibility criteria for studies

- 1. Studies examining the effectiveness of selective internal radiation therapy using yttrium-90 in treating patients with non-resectable hepatic metastases secondary to colorectal cancer (alone or in combination with another therapy).
  - Studies which examined the use of SIR-Spheres in any other condition eg primary hepatocellular carcinoma, were not included in the assessment of efficacy; however, they have been included in the assessment of safety.
  - Studies which examined the use of a selective internal radiation therapy other \_ than SIR-Spheres for the treatment of liver metastases have been included in the assessment of efficacy and safety.
  - Studies which examined the use of a selective internal radiation therapy other than SIR-Spheres for the treatment of any condition other than liver metastases have been excluded from both efficacy and safety evaluations.
- 2. English language full journal articles reporting primary data obtained in a clinical setting.

- Reviews, letters, editorials, technical reports and conference abstracts or proceedings were not included.
- 3. Study design and methods clearly described:
  - Case series of  $\geq$  10 patients where the authors had attempted to address bias, eg consecutive patients, or where patients could be assumed to be consecutive (that is, all patients within a stated time period).
  - Where it was not possible to determine whether patients were consecutive, papers have been included, but it was noted that patients may not be consecutive.
  - Papers where authors reported on a selected series of patients were not included.
  - Studies with a more powerful design than case series.
- 4. Or where these inclusion criteria could not be established from the abstract.

The 23 retrieved papers were re-examined using the above criteria, and a further 14 were excluded for the following reasons:

- The paper used a method other than SIR-Spheres to deliver the radiation and patients did not have liver metastases (eligibility criteria 1) (5 papers).
- The paper did not contain sufficient clinical information (either safety or efficacy or both) (eligibility criteria 2) (3 papers).
- Patients had been reported in another, more recent, publication or in an earlier publication which provide more clinical details (eligibility criteria 3) (5 papers).
  - Many authors did not report a date range between which patients were recruited or treated. This made it time consuming and difficult in some cases to determine whether patients had been reported previously in a different publication.
- Less than ten patients (eligibility criteria 3) (1 paper).

A total of nine papers met the eligibility criteria as above. A list of these papers is in Table 7. A list of all papers excluded and the reasons for exclusion is presented in Appendix D.

Additional unpublished clinical data was provided by the applicant for:

- A randomised trial of hepatic arterial chemotherapy (HAC) + SIRT versus HAC alone
- A randomised trial of systemic chemotherapy + SIRT versus systemic chemotherapy alone

It is understood that some of this data has been submitted for publication (SIRTeX Medical Ltd. 2001).

#### **Controlled evidence**

It is understood that two papers have been submitted for publication to the Journal of Clinical Oncology (June 2000 and 2001). These data include 74 patients treated as part of the randomised controlled trial of HAC plus SIRT compared to HAC alone and 21 patients treated as part of the randomised controlled trial of systemic chemotherapy plus SIRT compared to systemic chemotherapy alone. A quality assessment of these trials has been conducted using the Method for Evaluating Research Guideline Evidence instrument (MERGE) (Liddle, Williamson, & Irwig 1996). These papers are:

- Gray B., van Hazel G., Hope M., Burton M., Moroz P., Paton G., Anderson J. Randomised trial of SIR-Spheres plus chemotherapy versus chemotherapy alone for treating patients with liver metastases from primary large bowel cancer [Submitted to Journal of Clinical Oncology, June 2000]; and
- Gray B, van Hazel G, Blackwell A, Anderson J, Price D, Moroz P, Bower G, Jackson L. Randomised phase 2 trial of SIR-Spheres plus fluorouracil/leucovorin chemotherapy versus fluorouracil/leucovorin chemotherapy alone in advanced colorectal cancer [Submitted to Journal of Clinical Oncology, 2001].

#### Uncontrolled evidence

The nine case series papers which met the inclusion criteria are listed in Table 7 and the results are summarised in Appendix C. Since there was a control group in only one of these studies, and the studies were small, the statistical significance of the results is likely to be over-emphasised in the reports.

| Author(s)   | Title  | Publication  | Year | N*                               | Micro-sphere<br>type      | Included<br>for      |
|---|--|--|------|----------------------------------|---------------------------|----------------------|
| Andrews, J.C., Walker, S.C.,<br>Ackermann, R.J., Cotton, L.A.,<br>Ensminger, W.D. and Shapiro,<br>B.      | Hepatic radioembolization with yttrium-<br>90 containing glass microspheres:<br>preliminary results and clinical follow-up                         | Journal of Nuclear<br>Medicine 35, 1637-<br>1644                                   | 1994 | 24 (17 LM<br>from<br>CRC)        | Glass<br>TheraSphere<br>® | Efficacy<br>& safety |
| Blanchard, R.J., Morrow, I.M.<br>and Sutherland, J.B.   | Treatment of liver tumors with yttrium-90 microspheres alone   | Canadian Association<br>of Radiologists Journal<br>40, 206-210                     | 1989 | 16 (15<br>LM)<br>20<br>(control) | Plastic                   | Safety<br>only       |
| Grady, E.D., McLaren, J.,<br>Auda, S.P. and McGinley,<br>P.H.   | Combination of internal radiation therapy<br>and hyperthermia to treat liver cancer  | Southern Medical<br>Journal 76, 1101-1105.   | 1983 | 16 (13<br>LM)                    | Resin                     | Safety<br>only       |
| Gray, B.N., Anderson, J.E.,<br>Burton, M.A., van Hazel, G.,<br>Codde, J., Morgan, C. and<br>Klemp, P.     | Regression of liver metastases following treatment with yttrium-90 microspheres  | Australian & New<br>Zealand Journal of<br>Surgery 62, 105-110.                     | 1992 | 29<br>(LM)                       | SIR-Spheres               | Efficacy<br>& safety |
| Gray, B.N., van Hazel, G.,<br>Buck, M., Paton, G., Burton,<br>M.A. and Anderson, J.                       | Treatment of colorectal liver metastases with SIR-Spheres plus chemotherapy  | GI Cancer 3, 249-257   | 2000 | 71<br>(LM)                       | SIR-Spheres               | Efficacy<br>& safety |
| Herba, M.J., Illescas, F.F.,<br>Thirlwell, M.P., Boos, G.J.,<br>Rosenthall, L., Atri, M. and<br>Bret, P.M | Hepatic malignancies: improved treatment with intraarterial Y-90   | Radiology 169, 311-<br>314   | 1988 | 15<br>(12 LM<br>from<br>CRC)     | Glass<br>TheraSphere<br>® | Efficacy<br>& safety |
| Ho, S., Lau, W.Y., Leung,<br>T.W., Chan, M., Johnson, P.J.<br>and Li, A.K                                 | Clinical evaluation of the partition model<br>for estimating radiation doses from<br>yttrium-90 microspheres in the treatment<br>of hepatic cancer | European Journal of<br>Nuclear Medicine 24,<br>293-298                             | 1997 | 100<br>(6 LM)                    | SIR-Spheres               | Safety<br>only       |
| Lau, W.Y., Ho, S., Leung,<br>T.W., Chan, M., Ho, R.,<br>Johnson, P.J. and Li, A.K.                        | Selective internal radiation therapy for<br>nonresectable hepatocellular carcinoma<br>with intraarterial infusion of 90yttrium<br>microspheres     | International Journal of<br>Radiation Oncology,<br>Biology, Physics 40,<br>583-592 | 1998 | 71 (all<br>PLC)                  | SIR-Spheres               | Safety<br>only       |
| Stubbs, R.S., Cannan, R.J.,<br>Mitchell, A.W.   | Selective internal radiation therapy with<br>90yttrium microspheres for extensive<br>colorectal liver metastases                                   | Journal of<br>Gastrointestinal<br>Surgery 5 (3), 294-302                           | 2001 | 50 (CRC)                         | SIR-Spheres               | Efficacy<br>& safety |

 Table 7
 List of case series papers Included in review

\* LM – liver metastases, CRC - colorectal cancer, PLC - primary liver cancer

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The evidence for the efficacy and safety of SIRT is therefore based on two unpublished and small randomised controlled trials (level II evidence): one comparing hepatic arterial infusion with and without SIRT and the other comparing systemic chemotherapy with and without SIRT. These data are supplemented by a number of uncontrolled case series reports.

## **Expert** advice

A supporting committee with expertise in clinical oncology, surgery and radiation medicine was established to evaluate the evidence and provide advice to MSAC from a clinical perspective. In selecting members for supporting committees, MSAC's practice is to approach the appropriate medical colleges, specialist societies and associations and consumer bodies for nominees. Membership of the supporting committee is provided at Appendix B.

# **Results of assessment**

Two randomised controlled trials of selective internal radiation therapy in the treatment of hepatic metastases from colorectal cancer were identified. Trials will be discussed individually in this section and a full quality assessment of both trials using the MERGE instrument (Liddle, Williamson, & Irwig 1996) is reported in Appendix C.

#### Methodological assessment of randomised trials

#### Hepatic Arterial Chemotherapy (HAC) + SIRT

This trial was included in the MSAC application as a trial report (a publication from this trial has been submitted to the Journal of Clinical Oncology, June 2000) and was conducted by the applicant. The trial compared hepatic arterial chemotherapy (HAC) with hepatic arterial chemotherapy plus SIRT using SIR-Spheres. The HAC was a regimen of floxuridine in ongoing 12 day cycles of continuous infusion, repeated at four weekly intervals.

The data presented in this report are based on all patients (eligible and ineligible).

#### Randomisation, allocation concealment and blinding

The randomisation method used in this trial is not reported in either the trial report or the submitted publication. The trial protocol was requested from the applicant and indicates that the randomisation method used was a blind coded envelope in a blocked randomisation format.

#### Sample size and power

There is only a limited discussion of power and sample size in the trial report. The protocol provides some additional information. The trial was designed to enter 95 patients over three years. The original trial protocol indicated that survival was used to calculate sample size and power. A total of 95 patients would allow a detection of a 30% improvement in median survival (assuming 50% at six months) with a power of 90% (one sided test with a 5% significance). The trial entered 74 patients over six years before being stopped as patients were being referred specifically for SIRT treatment and were refusing randomisation. An amendment to the protocol after recruitment was stopped indicates that tumour response had been changed to the primary outcome measure and states that 74 patients would allow detection of increases as follows:

- In response rate from 20% to 55% (difference of 35%) with 80% power and 95% confidence;
- In time to progression in the liver of 32% with 80% power using a 95% confidence level; and
- In survival of 30% absolute increase with 90% power using a 90% confidence level.

#### **Efficacy Outcomes**

The primary and secondary efficacy outcomes for this trial are not clearly described in either the trial report or the publication. The original trial protocol indicates that the primary objectives were to compare survival and quality of life between treatment arms. Secondary objectives were to compare toxicity and objective tumour response rates of the two treatment regimens.

A protocol amendment was made after trial accrual stopped and indicates that the primary objectives of the trial were now to compare treatment regimens with respect to:

- 1) objective tumour response rates;
- 2) time to disease progression in the liver;
- 3) overall survival;
- 4) toxicity of the two treatment regimens; and
- 5) quality of life.

In addition to the outcomes above, which were specified in the protocol, a number of other outcomes have been reported in the clinical trial report which were not prespecified in the protocol, including duration of protocol chemotherapy, duration of nonprotocol chemotherapy, time to treatment failure, duration of any response in the liver, time to first progressive disease at any site, size of tumour regression and time to first response.

#### Outcome measurement

Tumour response was measured in a number of ways: 1) tumour volume, 2) serum carcinoembryonic antigen (CEA) levels and 3) tumour area (see comments below: 'Is it effective?'). The authors have indicated a preference for the use of tumour volumes over tumour areas in the assessment of response as 'there are potential difficulties in using tumour areas from cross sectional diameters to calculate response to treatment' (Gray et al. 2000a; SIRTeX Medical Ltd. 2000c).

The trial report indicates that patients underwent computed tomography (CT) scans of the liver before randomisation and at three monthly intervals. Serum CEA levels were measured monthly.

**Tumour volume:** The trial report states that 'all CT scans on all patients were independently evaluated by two medical practitioners not associated with the trial... Both medical practitioners were unaware of the treatment given to any patient and were unaware of the results of each other's measurements. ...if any recording of a tumour volume varied by more than 10% from the mean of the two measurements, then the scans were independently traced by a third medical practitioner (BG). Tumour volume was then taken as the mean of the two closest values.' It should be noted that the third medical practitioner was the primary investigator and was not blinded to the patient's treatment allocation.

**Tumour Area:** Response as defined by tumour area was evaluated by only one individual (the primary investigator - BG) and was therefore not evaluated blindly. The trial report

indicates that 'tumour areas were taken from measurements of all clearly measurable index lesions that could be tracked on serial CT scans.' The possibility of bias must be considered in such a situation.

**Serum CEA changes:** The assay for CEA changed during the trial and the report indicates that 'appropriate reference ranges were used to calculate response'. However, the report does not indicate what reference ranges were used, the validity of these ranges, or whether reference ranges changed over the course of the trial.

#### Definition of response

#### **Tumour response**

A partial response (PR) was defined as 'an objectively measured decrease in tumour size by 50% on two or more successive CT scans not less than four weeks apart, after randomisation and before evidence of progressive disease in the liver'.

A complete response (CR) was defined as 'the disappearance of all tumour on two successive CT scans not less than four weeks apart, after randomisation and before evidence of progressive disease in the liver.'

A response was deemed to have 'occurred only if non-protocol chemotherapy had not commenced, except when the non-protocol chemotherapy was systemic 5-FU substituted for protocol chemotherapy due solely to the development of extrahepatic metastases'.

A response as measured by CEA was defined as a decrease in CEA by 50% or more on any occasion after randomisation, before evidence of progressive disease as measured by CEA and before the start of other treatment. The CEA levels used to define response categories (complete response, partial response and progressive disease) are not defined by the authors.

#### Time to disease progression (in the liver and at any site)

Time to first progressive disease is defined as the time from randomisation to the time at which progressive disease was recorded.

Progressive disease (PD) in the liver was measured using tumour volume, tumour area and CEA and was defined as follows:

- An increase in tumour volume by 25% over the nadir reading for tumour volume as measured on serial CT scans, or in the development of new lesions.
- An increase in tumour area by 25% over the nadir as measured on serial CT scans, or development of new lesions.
- For patients in whom serum CEA was elevated at the time of starting protocol treatment, PD was defined as an increase in serum CEA by more than 25% over the nadir reading for CEA, providing that the CEA is outside the normal reference range.

- For patients in whom there was no objective measure of progression as above, PD is defined as the date of death (if dead) or date of last follow-up (if alive).

Progressive disease at any site was determined by using two measures:

- Increase in CEA.
- Other CT scan evidence of progression in the liver or the appearance of new lesions outside the liver using any imaging technique or clinical or histological evidence, whichever came first.

#### Patient characteristics

Seventy-four patients were randomised over a six year period to receive either hepatic arterial chemotherapy (HAC) or HAC + SIRT. Four patients were deemed ineligible after randomisation (three in the SIRT + HAC arm and one in the HAC alone arm).

Table 8 describes patient characteristics of both treatment arms and includes data on all patients (eligible and ineligible).

| Characteristics   | HAC only     | hac + sirt  |  |  |  |
|---|--------------|-------------|--|--|--|
| Total number  | 35           | 39          |  |  |  |
| Male  | 26           | 31          |  |  |  |
| Female  | 9            | 8           |  |  |  |
| Age (Mean ± SD)   | 61.64 ± 9.61 | 58.7 ± 9.39 |  |  |  |
| Regional Lymph node involvement                           |              |             |  |  |  |
| Yes   | 25           | 27          |  |  |  |
| No  | 10           | 12          |  |  |  |
| Size of Liver Metastases                                  |              |             |  |  |  |
| <25%  | 24           | 26          |  |  |  |
| 25-50%  | 9            | 9           |  |  |  |
| >50%  | 2            | 4           |  |  |  |
| Previous liver metastases treatment                       |              |             |  |  |  |
| Yes   | 5            | 5           |  |  |  |
| No  | 30           | 34          |  |  |  |
| Time from diagnosis of metastases to randomisation (days) |              |             |  |  |  |
| Mean ± SD   | 132 ± 241    | 134 ± 253   |  |  |  |
| Median  | 51           | 56          |  |  |  |

 Table 8
 Patient characteristics (all patients) for HAC + SIRT vs HAC alone trial

#### Systemic Chemotherapy + SIRT

This trial was conducted by the applicant and data was provided to the Supporting Committee in October 2001. The applicant has indicated that this data has been submitted for publication to the Journal of Clinical Oncology, 2001.

Twenty-one patients were randomised to receive either systemic chemotherapy plus SIRT or systemic chemotherapy alone. Systemic chemotherapy consisted of 5-fluoruracil

 $425 \text{mg/m}^2/\text{day}$  plus leucovorin  $20 \text{mg/m}^2/\text{day}$  for five consecutive days, repeated at four weekly intervals. Chemotherapy was continued in both patient groups until evidence of unacceptable toxicity, patient request or disease progression. Patients randomised to the combination arm had SIR-Spheres administered into the hepatic artery via a transfemoral catheter on the 3<sup>rd</sup> or 4<sup>th</sup> day of the second cycle of chemotherapy. The first five patients received a standard dose of 2.5 GBq of yttrium-90 activity. Doses in subsequent patients were administered according to the following formula, as one patient developed radiation hepatitis at the 2.5 GBq dose.

Dose (GBq) = (Body surface area  $(m^2)$ -0.2) + (% tumour involvement/100)

Once protocol treatment ceased, further cancer treatment was allowed, including nonprotocol chemotherapy. Other supportive treatment was also allowed.

#### Randomisation, allocation concealment and blinding

Patient registration and randomisation was made by telephoning an independent site which randomised patients using a computer based program. Patients were entered into the study from three Australian hospitals and were stratified prior to randomisation by institution, presence or absence of extrahepatic metastases and extent of liver involvement (<25% or >25%).

#### Sample size and power

There is no discussion of power and required sample size for this trial. The report simply states that the trial was designed to recruit 18 patients, and closed after 21 patients.

#### Efficacy Outcomes

The trial report indicates that the phase 2 study was undertaken to compare toxicity and response in the treatment arms.

#### Outcome measurement

Response was measured using the RECIST criteria (Response Evaluation Criteria in Solid Tumors) (Therasse et al. 2000) (Appendix F). All serial CT scans were read by a blinded, independent person not associated with the trial. Response was reported as 'first integrated response' and 'best confirmed response'.

Toxicity was recorded on all patients 'using standard Union Internationale Contre le Cancer (UICC) recommendations for grading of acute and subacute toxicity criteria'.

Quality of life was measured at randomisation, and then at three monthly intervals using the 23 point Functional Living Index – Cancer (FLIC) questionnaire. Clinicians also reported an assessment of the patients' well-being at the same intervals using the Spitzer index.

#### Patient characteristics

Twenty-one patients were randomised in this trial, ten to receive only systemic chemotherapy and eleven to receive systemic chemotherapy plus SIRT.

Table 9 describes patient characteristics of both treatment arms.

| Characteristics                                     | Chemotherapy only | Chemotherapy + SIRT |  |  |  |
|---|-------------------|---------------------|--|--|--|
| Total number  | 10                | 11                  |  |  |  |
| Male  | 8                 | 10                  |  |  |  |
| Female  | 2                 | 1                   |  |  |  |
| Mean age  | 65                | 64                  |  |  |  |
| Extrahepatic metastases                             | 3                 | 2                   |  |  |  |
| Histologic differentiation of primary bowel cancer: |                   |                     |  |  |  |
| Poor  | 2                 | 1                   |  |  |  |
| Moderate  | 6                 | 10                  |  |  |  |
| Well  | 2                 | 0                   |  |  |  |
| Size of Liver Metastases                            |                   |                     |  |  |  |
| <25%  | 7                 | 8                   |  |  |  |
| >25%  | 3                 | 3                   |  |  |  |

# Table 9 Patient characteristics (all patients) for chemotherapy +SIRT vs chemotherapy alone trial

Small patient numbers in this trial may limit the generalisability of conclusions. Extreme percentages should also be viewed with caution, due to the small patient numbers.

# Is it safe?

#### **Controlled evidence**

#### Patient Safety

#### Hepatic Arterial Chemotherapy + SIRT

The protocol indicates that serial measurements to determine treatment response, toxicity and clinical management were performed. Monthly evaluations of full blood examinations, platelet counts, liver function tests and CEA were conducted. Originally, the protocol required chest x-rays and CT scans of the liver to be conducted every three months until there was evidence of disease progression. This was later amended to include only CT scans of the liver.

Table 10 summarises the adverse effect data reported from the randomised controlled trial for all patients (eligible and ineligible) (SIRTeX Medical Ltd. 2000c). Although the protocol indicated that monthly evaluations of haematological and hepatic parameters were conducted, the applicant has indicated that no additional adverse event information is available.

 Table 10
 Adverse effects reported in randomised controlled trial (Number of events for duration of protocol treatment)

| Parameter                      |   | Н | lb |   |   | Bilir | ubin |   |    | AS | т  |   | Alk | phos | phata | se | Nai | usea/ | vomi | ting | - | Diarr | hoea | 9 | Total | Total<br>grade<br>3/4 |
|--------------------------------|---|---|----|---|---|-------|------|---|----|----|----|---|-----|------|-------|----|-----|-------|------|------|---|-------|------|---|-------|-----------------------|
| Toxicity<br>Grade <sup>1</sup> | 1 | 2 | 3  | 4 | 1 | 2     | 3    | 4 | 1  | 2  | 3  | 4 | 1   | 2    | 3     | 4  | 1   | 2     | 3    | 4    | 1 | 2     | 3    | 4 |       |                       |
| Chemotherapy<br>(n=35)         | 3 | 1 | 1  |   | 6 | 1     |      |   | 77 | 34 | 14 | 2 | 62  | 30   | 6     |    | 3   | 2     | 2    |      | 5 | 1     | 1    |   | 251   | 26                    |
| SIRT + chemo<br>(n=39)         | 4 | 1 |    |   | 2 |       | 1    |   | 94 | 18 | 5  | 2 | 142 | 57   | 14    |    | 9   | 6     | 1    |      | 2 | 1     |      |   | 357   | 22                    |

Note: Hb - haemoglobin; AST - aspartate amino-transferase; Alk - Alkaline

Although not tabulated as part of the adverse events, the trial report indicated that many patients experienced discomfort in the upper abdomen soon after the implantation of SIR-Spheres, with some patients requiring narcotic analgesia for the pain. Additional information requested from the applicant has indicated that one patient also experienced grade 2 pain on implant of SIRT. The following information concerning patient safety was included in the submitted publication (Gray et al. 2000a), with one patient developing pancreatitis after SIRT plus HAC, which settled within three days, but nonetheless caused an exacerbation of diabetes.

The application also provided some details of Serious Adverse Events (SAEs) that occurred during protocol treatment (Table 11). An SAE was defined by the authors as any event which resulted in hospitalisation, that was potentially or actually life threatening, or caused death.

<sup>&</sup>lt;sup>1</sup> The toxicity of treatments was assessed using standard UICC criteria.

| Table 11 | Serious adverse events during protocol treatment |
|----------|--|
|----------|--|

| Event   | Chemotherapy arm (n=35) | SIRT + chemotherapy arm<br>(n=39) |  |  |  |
|---|-------------------------|-----------------------------------|--|--|--|
| Removal of port for any reason                                    | 1                       | 2                                 |  |  |  |
| Re-siting of port for any reason                                  | 1                       | 6                                 |  |  |  |
| Infection or blockage of port not leading to removal or re-siting | 4                       | 2                                 |  |  |  |
| Other port related events   | 3                       | 0                                 |  |  |  |
| Fever of uncertain origin   | 2                       | 1                                 |  |  |  |
| GI symptoms of uncertain origin                                   | 2                       | 1                                 |  |  |  |
| Surgical complications  | 1                       | 1                                 |  |  |  |
| Total   | 14                      | 13                                |  |  |  |

GI - gastrointestinal

The applicant has also provided a summary of the potentially treatment-related adverse events (Table 12).

| SIRT     | SIRT + chemotherapy                          |                    |       |                                  |  |  |  |  |  |  |  |
|----------|--|--------------------|-------|----------------------------------|--|--|--|--|--|--|--|
| Pt<br>ID | Adverse event                                | Suspected<br>Cause | Grade | Time since<br>treatment<br>start |  |  |  |  |  |  |  |
| 9        | Fever  | FUDR               | 2     | 1mo                              |  |  |  |  |  |  |  |
|          | Fever  | FUDR               | 2     | 2mo                              |  |  |  |  |  |  |  |
| 15       | Upper chondrial & back pain due to gastritis | FUDR               | 1     | 1mo                              |  |  |  |  |  |  |  |
| າາ       | Nausea & vomiting                            | FUDR               | 2     | 1mo                              |  |  |  |  |  |  |  |
| ZZ       | Indigestion                                  | FUDR               | 2     | 2mo                              |  |  |  |  |  |  |  |
| 25       | Gastric reflux/indigestion                   | FUDR               | 2     | 2mo                              |  |  |  |  |  |  |  |
| 20       | Nausea, vomiting, pain                       | <sup>90</sup> Y    | 2     | Start                            |  |  |  |  |  |  |  |
| 30       | Nausea & vomiting                            | FUDR               | 1     | 1 mo                             |  |  |  |  |  |  |  |
| 34       | Diarrhoea                                    | FUDR               | 2     | 2mo                              |  |  |  |  |  |  |  |
| 24       | Nausea                                       | 90Y/ FUDR          | 1     | 2wk                              |  |  |  |  |  |  |  |
| 30       | Pancreatitis                                 | 90Y/ FUDR          | 3     | 6wk                              |  |  |  |  |  |  |  |
| 37       | Nausea & vomiting                            | FUDR               | 3     | 2wk                              |  |  |  |  |  |  |  |
| 47       | Rt hypochondrial pain                        | FUDR               | 1     | 1mo                              |  |  |  |  |  |  |  |
| 47       | Pain   | FUDR               | 2     | 2mo                              |  |  |  |  |  |  |  |
| FO       | Rt hypochondrial pain                        | FUDR               | 1     | 2mo                              |  |  |  |  |  |  |  |
| 50       | Indigestion                                  | FUDR               | 1     | 2mo                              |  |  |  |  |  |  |  |
| 65       | Nausea                                       | 90Y/ FUDR          | 1     | 1mo                              |  |  |  |  |  |  |  |

 Table 12
 Potentially treatment-related adverse events

| Chemotherapy    |  |                    |       |                                  |  |  |  |  |  |  |
|-----------------|--|--------------------|-------|----------------------------------|--|--|--|--|--|--|
| Pt<br>ID<br>No. | Adverse event                          | Suspected<br>Cause | Grade | Time since<br>treatment<br>start |  |  |  |  |  |  |
| 69              | Epigastric pain, Chest<br>pain (Losec) | FUDR               | 1     | 2mo                              |  |  |  |  |  |  |
|                 | Abdominal discomfort                   | FUDR               | 1     | 2mo                              |  |  |  |  |  |  |
| 43              | Indigestion                            | FUDR               | 1     | 2mo                              |  |  |  |  |  |  |
| 38              | Epigastric discomfort                  | FUDR               | 1     | Start                            |  |  |  |  |  |  |
| 17              | Gastritis                              | FUDR               | 1     | 2wk                              |  |  |  |  |  |  |
| 17              | Nausea                                 | FUDR               | 2     | 1mo                              |  |  |  |  |  |  |
|                 | Nausea & vomiting                      | FUDR               | 1     | 1mo                              |  |  |  |  |  |  |
| 2               | Diarrhoea                              | FUDR               | 2     | 1mo                              |  |  |  |  |  |  |
| 3               | Abdominal discomfort                   | FUDR               | 1     | 1mo                              |  |  |  |  |  |  |
|                 | Diarrhoea                              | FUDR               | 1     | 2mo                              |  |  |  |  |  |  |

Note: FUDR - floxuridine, 90Y - yttrium-90, mo - months, wk - weeks

FUDR - floxuridine; 90Y - yttrium-90; mo - months; wk - weeks

### Systemic Chemotherapy + SIRT

There was one treatment-related death in this trial; a patient treated with systemic chemotherapy and SIRT. The patient received four cycles of chemotherapy and experienced neutropenia on each occasion. After the fourth cycle the patient died from sepsis associated with the neutropenia.

Additional adverse effects (grade 3-4 only) are tabulated below (Table 13).

| Event                            | Number of events in<br>Chemotherapy arm (n=10) | Number of events in SIRT + chemotherapy arm (n=11) |  |  |  |
|----------------------------------|--|--|--|--|--|
| Granulocytopenia                 | 0  | 3  |  |  |  |
| Nausea, vomiting                 | 1  | 1  |  |  |  |
| Mucositis                        | 1  | 4  |  |  |  |
| Gastritis                        | 1  | 1  |  |  |  |
| Diarrhoea                        | 1  | 2  |  |  |  |
| Anorexia                         | 1  | 0  |  |  |  |
| Radiation induced cirrhosis      | 0  | 1  |  |  |  |
| Liver abscess                    | 0  | 1  |  |  |  |
| Total number of Grade 3-4 events | 5  | 13   |  |  |  |
| Treatment related deaths         | 0  | 1  |  |  |  |

 Table 13
 Grade 3 and 4 toxicities experienced during treatment

In addition, four patients treated with SIRT + chemotherapy developed abdominal pain at the time of injection of SIR-Spheres that required treatment with narcotic analgesia.

#### Personnel safety

The controlled evidence provided for this application provided no information regarding operator or personnel safety.

#### Uncontrolled evidence

#### **Patient Safety**

Generally, side effects were not well reported in the case series. Extreme percentages should be viewed with caution because of the small patient numbers in many series. Documented adverse effects from included case series are tabulated below (Table 14).

|   |      | Liver enzyme<br>elevations | Radiation hepatitis -<br>fatal | Fever | Fatigue / lethargy | Anorexia | Abdominal pain /<br>discomfort | Nausea and/or<br>vomiting | Gastritis / other GI symptoms | GI Ulceration | Gl ulceration<br>requiring surgery | Gl tract haemorrhage<br>- non-fatal | Biliary sclerosis | Bilirubin fluctuations | WBC fluctuations | Radiation<br>pneumonitis |
|---|------|----------------------------|--------------------------------|-------|--------------------|----------|--------------------------------|---------------------------|-------------------------------|---------------|------------------------------------|-------------------------------------|-------------------|------------------------|------------------|--------------------------|
| Study                                   | Ν    | %                          | %                              | %     | %                  | %        | %                              | %                         | %                             | %             | %                                  | %                                   | %                 | %                      | %                | %                        |
| (Andrews et al. 1994a)                  | 24   | 100                        |                                | 17    | 75                 |          |                                |                           | 17                            |               |                                    |                                     |                   |                        | 0                | 0                        |
| (Blanchard, Morrow, & Sutherland 1989b) | 16   | 19                         |                                |       |                    | 31       |                                | 31                        | 19                            | 19            | 6                                  |                                     |                   |                        |                  |                          |
| (Grady et al. 1983c)                    | 16   |                            | 6                              |       |                    |          |                                |                           |                               | 6             |                                    |                                     |                   |                        |                  |                          |
| (Gray et al. 1992f)                     | No s | afety data                 | a reported                     | 1     |                    |          |                                |                           |                               |               |                                    |                                     |                   |                        |                  |                          |
| (Gray et al. 2000b)                     | 71   |                            | 1                              |       |                    |          | Common                         | Common                    |                               |               |                                    |                                     | 0                 |                        |                  |                          |
| (Herba et al. 1988h)                    | 15   | 93                         |                                |       | 100                |          |                                |                           |                               | 20            |                                    | 7                                   |                   | 13                     | 7                |                          |
| (Ho et al. 1997i)                       | 100  |                            |                                |       |                    |          |                                |                           |                               |               |                                    |                                     |                   |                        |                  | 5                        |
| (Lau et al. 1998j)                      | 71   |                            |                                | 14    |                    |          | 17                             | 17                        |                               |               |                                    |                                     |                   |                        | 0                | 0                        |
| (Stubbs, Cannan, &<br>Mitchell 2001)    | 50   |                            |                                |       | 100                | 100      | 28                             | NR                        |                               | 12            |                                    | 3                                   |                   |                        |                  | 0                        |

Table 14Summary of adverse effects from case series

#### **Personnel Safety**

One of the publications assessed for eligibility contains comments regarding personnel safety (Shepherd et al. 1992). The authors indicated that radiation exposure to personnel was limited to less than  $0.2\mu$ Sv per administration. When the patient returned to the ward after treatment, the dose rate at 1m from the patient was less than  $2\mu$ Sv/h/GBq administered. At the skin anterior to the liver, the dose rate was  $35\mu$ Sv/h/GBq injected.

In Australia, individual State regulations require that the effective dose to each exposed worker is less than 20mSv per year (averaged over a period of five consecutive calendar years) and that the effective dose limit in a single year is 50mSv, as defined by the NHMRC in it's Radiation Health Series (National Occupational Health and Safety Commission 1995). The applicant has indicated that SIR-Spheres are shielded with lead and Perspex throughout production, transport and delivery, and has provided sample radiation dose reports for selected staff members. During a period of six months during which 15 patients were treated with SIR-Spheres, the applicant has indicated that each staff member received less than 0.15mSv per two month period. A recent Australian review has suggested that the average effective background dose received by the Australian population is approximately 1.5mSv per year (Webb, Solomon, & Thomson 1999).

As beta-emitting radioisotopes have the potential to deliver high doses of radiation to objects close to the source, the whole body exposure rates reported above would be expected to be low. The applicant has provided additional information based on doses measured on finger badges, as below (Table 15).

| Wearer                     | Dose (mSv) | Times worn | Average finger dose per<br>implant (mSv) |
|----------------------------|------------|------------|--|
| Technologist (drawing up)  | <0.02      | 5          | 0.004                                    |
| Waste technician           | 0.82       | 8          | 0.1                                      |
| Administering physician    | 1.67       | 2          | 0.84                                     |
| Interventional radiologist | <0.02      | 2          | <0.01                                    |

 Table 15
 Radiation doses from finger badges (January 1 2000 to December 31 2000)

Another important consideration is that of residual radiation present on discharge of patients from a hospital facility. The current NHMRC recommendation (National Health and Medical Research Council 1984) on discharge of patients who have undergone treatment with radioactive substances specifies that discharge should not occur until total activity remaining in the patient has dropped to 1200 MBq. As the dose of yttrium delivered for SIRT is 2-4 GBq, and the half life is >67 hours, the patient may require a 3-4 day hospital stay before reaching this level (not the one day stay as in the randomised controlled trial). This may have implications for the total cost of delivery of SIRT (see Section 'What are the economic considerations?'). It is likely that these discharge recommendations will be revised in the near future.

#### Conclusions

• Based on the randomised trial of HAC plus SIRT versus HAC alone, it appears that the addition of SIRT to hepatic arterial chemotherapy may result in
additional elevation of hepatic enzymes (alkaline phosphatase), and more nausea and vomiting than hepatic arterial chemotherapy alone.

- Similarly, the addition of SIRT to systemic chemotherapy appeared to result in more grade 3-4 toxicities (including granulocytopenia and mucositis) than did systemic chemotherapy alone. There was one treatment-related death in the combined treatment arm of this trial (sepsis).
- Patients in both randomised trials often experienced abdominal pain after administration of SIR-Spheres and in some cases required narcotic analgesia.
- Uncontrolled evidence suggests that administration of selective internal radiation therapy (with SIR-Spheres, or other similar agents) will commonly result in liver enzyme elevations, fatigue and lethargy, anorexia, nausea and/or vomiting and gastrointestinal symptoms.
- There have been a small number of cases of fatal radiation hepatitis, gastrointestinal ulceration or haemorrhage, and radiation pneumonitis.
- It would appear that the doses of radiation delivered to personnel are reasonably low and are within ranges recommended by the National Occupational Health and Safety Commission (National Occupational Health and Safety Commission 1995).
- To comply with the current NHMRC recommendation (National Health and Medical Research Council 1984) on discharge of patients who have undergone treatment with radioactive substances which specifies that discharge should not occur until total activity remaining in the patient has dropped to 1200 MBq, the patient may require a 3-4 day hospital stay after treatment with SIR-Spheres. It is likely that this discharge recommendation will be revised in the near future.

### Is it effective?

The Supporting Committee agreed that the following hierarchy of efficacy endpoints should be used in the evaluation of data.

- 1. Quality adjusted survival (quality adjusted life years QALYs)
- 2. Overall survival (ie not adjusted for quality of life)
- 3. Progression-free survival
- 4. Hepatic progression-free survival
- 5. Tumour response rate
  - The randomised controlled trial measured tumour response by a number of methods: change in tumour area, tumour volume and tumour markers (CEA). The supporting committee agreed that tumour area was the conventional method for determining tumour response, and tumour volume and CEA were both considered unconventional methods.

#### **Controlled** evidence

As detailed previously (page 17) the clinical trial of HAC plus SIR-Spheres verses HAC alone measured a large number of endpoints, as specified in the protocol, and reported on many more in the trial report.

This section provides data on the efficacy endpoints for both trials that have been determined to be most relevant by the Supporting Committee, as above.

#### **Quality Adjusted Survival**

#### Hepatic Arterial Chemotherapy + SIRT

The trial did not report quality adjusted survival in these patients. The trial report indicates that an attempt was made to determine patient quality of life by using a linear analogue self assessment scale of 11 questions. The trial was not powered to detect a difference in any quality of life measures. The applicant did not use a validated quality of life measure that could provide a utility value which could then be incorporated into a QALY calculation. With the exception of a brief paragraph indicating that 'the patients' perception of their general status was not substantially different between the two study arms', little data on quality of life is provided.

#### Systemic Chemotherapy + SIRT

The trial did not report quality adjusted survival. Quality of life (QOL) was assessed using both a patient based assessment (FLIC) and a physician based assessment (Spitzer index). Changes from baseline patient related quality of life for the first three months of treatment were analysed using a t-test, and were found to be almost identical in both treatment groups (p=0.96). This was also the case for physician rated QOL (p=0.98).

#### **Overall survival**

#### Hepatic Arterial Chemotherapy + SIRT

The trial report indicated that there was no statistically significant difference in survival between the two treatment arms. The median survival in the HAC only arm was 477 days, and the median survival in the HAC + SIRT arm was 501 days (detailed in Table 16).

| Table 16 | Survival - | All patients  | (from trial | report) |
|----------|------------|---------------|-------------|---------|
|          | ourritur   | All puttorito |             | 100010  |

|                           | HAC alone | HAC + SIRT |  |
|---------------------------|-----------|------------|--|
| Ν                         | 35        | 39         |  |
| Mean survival ± SD (days) | 550 ± 389 | 684 ± 555  |  |
| Median survival (days)    | 477       | 501        |  |

Comparison between groups: logrank test p=0.26

The Kaplan Meier curve in Figure 1 indicates overall survival for all patients.



Figure 1 Overall survival (all patients)

#### Systemic Chemotherapy + SIRT

Overall survival was not reported in this trial.

#### Progression-free survival (time to disease progression at any site)

#### Hepatic Arterial Chemotherapy + SIRT

Time to first progressive disease at any site appeared to be measured by the applicant by using: 1) CEA levels; and 2) CT (which included CT evidence of progression in the liver or the appearance of new lesions outside the liver using any imaging technique, whichever came first). Results are shown in Table 17.

|                           | HAC alone | HAC + SIRT |  |
|---------------------------|-----------|------------|--|
| Ν                         | 35        | 39         |  |
| Mean survival ± SD (days) | 291±212   | 442±504    |  |
| Median survival (days)    | 287       | 295        |  |

Table 17 Time to disease progression at any site - All patients (from trial report)

Comparison between groups: logrank test p=0.094

The Kaplan Meier curve below (Figure 2) indicates time to first disease progression at any site (progression-free survival) for all patients. The applicant has indicated that this curve has been constructed based on CT defined progression.



Figure 2 Time to first disease progression at any site (all patients)

#### Systemic Chemotherapy + SIRT

Although the report does not specify whether the outcome of 'time to disease progression' was at any site, or in the liver only, it is assumed to mean the former. The authors report that as of 10 September 2001, eight of 10 patients in the control arm and four of 11 patients in the experimental arm have recorded progressive disease. The duration of follow-up of these patients is not stated. At this time point, the time to progressive disease was significantly longer for patients treated with chemotherapy plus SIRT (Table 18).

| Table 18 | Time to progressive disease (as at 10 September 2001) |
|----------|---|
|----------|---|

| Treatment arm                      | Median (months) |
|------------------------------------|-----------------|
| Chemotherapy alone                 | 3.4             |
| Chemotherapy plus SIRT             | 15.6            |
| Comparison botwoon groups n=0.0005 |                 |

Comparison between groups p<0.0005

#### Hepatic progression-free survival (time to disease progression in the liver)

#### Hepatic Arterial Chemotherapy + SIRT

The trial report indicates that time to disease progression in the liver was measured in a number of ways:

- 1. Tumour volume (blinded);
- 2. Tumour area (unblinded); and
- 3. CEA levels (although no data is presented on this measure).

Median time to disease progression in the liver (as measured by tumour volume) was 230 days for patients treated with hepatic arterial chemotherapy alone and 324 days for patients receiving HAC plus SIRT (Table 19).

| Table 19 | Time to first disease progression in the liver (tumour volum | e - All patients) |
|----------|--|-------------------|
|----------|--|-------------------|

|                  | HAC alone | HAC + SIRT |  |
|------------------|-----------|------------|--|
| Ν                | 35        | 39         |  |
| Mean ± SD (days) | 306 ±327  | 482 ±505   |  |
| Median (days)    | 230       | 324        |  |

Difference between groups (logrank test) p=0.08 (all patients)

Median time to disease progression in the liver (as measured by tumour area) was 287 days for patients treated with chemotherapy alone and 469 days for patients treated with hepatic arterial chemotherapy plus SIRT (p=0.001) (Table 20).

| Table 20 | Time to first disease progression in the liver (tumour area - All patients) |
|----------|---|
|----------|---|

|                  | HAC alone | HAC + SIRT |  |
|------------------|-----------|------------|--|
| Ν                | 35        | 39         |  |
| Mean ± SD (days) | 300±211   | 563±509    |  |
| Median (days)    | 287       | 469        |  |

Difference between groups (logrank test) p=0.001

An analysis of 'time to first relapse in the liver only' has been performed using a competing risks analysis due to the fact that once patients fail distantly (outside the liver) their management alters (commencement of systematic treatment etc) which potentially alters their subsequent disease history. Thus, failing in sites outside the liver may be viewed as competing for the event of local failure. A competing risks analysis using the method of Gray (1988), stratified according to disease extent (a randomisation strata in the original study) has been performed. The analysis does not lend itself to Kaplan-Meier type curves but cumulative incidence curves. To help facilitate comparisons, the curves have been transformed to start at one (rather than 0) and decrease (rather than increase) (Figure 3). The applicant has indicated that data based on tumour areas has been used to calculate these cumulative incidence curves.

It should be noted that tumour volume was measured independently by two readers who were blinded to treatment allocation of the patients and were independent to the trial. Tumour area, however, was measured by only one reader (the primary investigator) and was not evaluated blind to treatment allocation or any other clinical data. The potential for reporting bias in such a situation should be noted. To address the issue of possible reporting bias, the applicant has reanalysed tumour response data in a blinded fashion, and this information is reported on the following pages.





\*Adjusted for disease extent

#### Systemic Chemotherapy + SIRT

Time to disease progression in the liver was not reported in this trial, as mentioned earlier in this section.

#### **Tumour response rates**

#### Hepatic Arterial Chemotherapy + SIRT

The applicant has measured tumour response using three methods:

- 1. Tumour volume (blinded);
- 2. Tumour area (unblinded); and
- 3. CEA.

For completeness, results of all measures are presented; however, it should be noted that the supporting committee indicated that tumour area was usually the standard measure. Tables 21, 22 and 23 provide information as to how many patients achieved a complete response (CR), partial response (PR), no change (NC), or progressive disease (PD). The

category of 'other' refers to patients with only a baseline and no follow-up imaging or patients who were considered unmeasurable or unevaluable. In the case of CEA data, patients who had CEA levels in the normal range at baseline could not be evaluated.

| Response   | CR | PR | NC | PD | Other | Total |
|------------|----|----|----|----|-------|-------|
| HAC alone  | 1  | 7  | 12 | 9  | 6     | 35    |
| HAC + SIRT | 2  | 16 | 12 | 6  | 3     | 39    |

 Table 21
 Tumour response as measured by tumour volume (all patients)

CR - complete response, PR - partial response, NC - no change, PD - progressive disease Difference between groups (Kruskal-Wallis test for categories CR, PR, NC, and PD) p=0.064

| Table 22 | Tumour response as measured by tumour area (all patients) |
|----------|---|
|----------|---|

| Response   | CR | PR | NC | PD | Other | Total |
|------------|----|----|----|----|-------|-------|
| HAC alone  | 0  | 6  | 13 | 8  | 8     | 35    |
| HAC + SIRT | 2  | 14 | 15 | 4  | 4     | 39    |

CR - complete response, PR - partial response, NC - no change, PD - progressive disease

Difference between groups (Kruskal-Wallis test for categories CR, PR, NC, and PD) p=0.023

#### Table 23 Tumour response as measured by CEA (all patients)

| Response   | CR | PR | NC | PD | Other | Total |
|------------|----|----|----|----|-------|-------|
| HAC alone  | 9  | 7  | 11 | 6  | 2     | 35    |
| HAC + SIRT | 16 | 13 | 2  | 1  | 7     | 39    |

CR - complete response, PR - partial response, NC - no change, PD - progressive disease Difference between groups (Kruskal-Wallis test for categories CR, PR, NC, and PD) p=0.002

It should be noted that tumour volume was measured independently by two readers who were blinded to treatment allocation of the patients and were independent to the trial. Tumour area, however, was measured by only one reader (the primary investigator) and was not evaluated blind to treatment allocation or any other clinical data. The potential for reporting bias in such a situation should be noted.

An attempt was made by the applicant to address the issue of potential reporting bias by having the CT scans previously assessed by the primary investigator, re-analysed by a blinded and independent researcher. Table 24 indicates the results of this re-analysis on response, as measured by tumour area. The applicant has indicated that results for tumour volume remained virtually unchanged.

| Response   | CR | PR | NC | PD | Other | Total |
|------------|----|----|----|----|-------|-------|
| HAC alone  | 1  | 7  | 11 | 10 | 6     | 35    |
| HAC + SIRT | 2  | 16 | 12 | 6  | 3     | 39    |

 Table 24
 Re-analysis of tumour response as measured by tumour area (all patients)

CR – complete response, PR – partial response, NC – no change, PD – progressive disease Difference between groups (Kruskal-Wallis test ) p=0.047

#### Systemic Chemotherapy + SIRT

The authors indicate that tumour response was measured using the RECIST criteria (Appendix F), but do not state whether assessment was based on tumour area or tumour volume. First integrated response and best confirmed response are presented in Tables 25 and 26.

| Table 25 | Tumour response by first integrated response |
|----------|--|
|----------|--|

| Response            | CR | PR | NC | PD | Total |
|---------------------|----|----|----|----|-------|
| Chemotherapy alone  | 0  | 0  | 6  | 4  | 10    |
| Chemotherapy + SIRT | 0  | 10 | 1  | 0  | 11    |

CR – complete response; PR – partial response; NC – no change; PD – progressive disease Difference between groups (Kruskal-Wallis) p<0.001

#### Table 26 Tumour response by best confirmed response

| Response            | CR | PR | NC | PD | Total |
|---------------------|----|----|----|----|-------|
| Chemotherapy alone  | 0  | 0  | 6  | 4  | 10    |
| Chemotherapy + SIRT | 0  | 8  | 3  | 0  | 11    |

Note: CR – complete response, PR – partial response, NC – no change, PD – progressive disease Difference between groups (Kruskal-Wallis) p<0.001

#### **Uncontrolled evidence**

Uncontrolled evidence of the use of selective internal radiation therapy is unlikely to provide a great deal of additional information due to inconsistencies in the way efficacy data is reported between papers. The small patient numbers in many of these papers also limits the generalisability of information reported here.

#### Tumour response

Andrews et al (1994a) indicated that of 17 patients treated with TheraSphere<sup>®</sup> alone, 10 (58%) had some response to treatment (either partial response, minimal response or stable disease). Many papers reported tumour response as a simple measure of decrease or stability in lesion size ('size', 'area' or 'volume'). A decrease in lesion size was reported in 43% (7/16) of patients by Blanchard (1989b), 62% (18/29 and 44/71) of patients by Gray (1992f),(2000b) and 73% (32/44) of patients at three months and 82% (23/28) at six months by Stubbs (2001). Herba (1988h) found that 10/15 (67%) patients had stable disease at a mean follow-up of four months, while an additional 18% (8/44) of patients in the Stubbs paper (2001) had stable disease at three months and 14% (4/28) at six months.

#### Survival

Very few papers reported on survival of patients, and in many it was not possible to determine when survival was measured from (eg therapy, diagnosis etc). Andrews et al (1994a) found that the median survival of patients treated with internal radiation therapy alone was 60 weeks. Blanchard (1989b) indicated a median survival of 55 weeks for patients treated with internal radiation therapy, while Gray (2000b) demonstrated a median survival of 9.9 months from SIRT administration and 17.3 months from diagnosis of liver metastases. Stubbs et al (2001) indicated that after a median follow-up of 25.5 months, median survival from the time of diagnosis of liver metastases was 14.5 months and from time of treatment was 9.8 months.

#### **Conclusions**

• Quality adjusted survival (quality adjusted life years - QALYs) was not reported in either randomised trial. Neither trial was adequately powered to detect a difference in quality of life measures.

- There was no statistically significant difference in overall survival between patients treated with hepatic arterial chemotherapy and those treated with hepatic arterial chemotherapy plus SIRT, although the trial was insufficiently powered to detect moderate but clinically important differences in overall survival. Overall survival was not reported in the trial of systemic chemotherapy plus SIRT versus systemic chemotherapy alone.
- There was no statistically significant difference in progression-free survival between patients treated with hepatic arterial chemotherapy and those treated with hepatic arterial chemotherapy plus SIRT, although the trial was insufficiently powered to detect clinically important differences in progression-free survival.
- The trial of systemic chemotherapy plus SIRT versus systemic chemotherapy alone indicated that the time to progressive disease in the combination arm was significantly longer.
- In the outcome of time to disease progression in the liver, there was some evidence of a benefit favouring SIRT plus hepatic chemotherapy, depending upon how disease progression in the liver was measured.
  - If disease progression was measured by tumour volume (blinded), there was a trend favouring SIRT plus hepatic chemotherapy.
  - If disease progression was measured by tumour area (unblinded) and analysed using a competing risks model then there was a statistically significant difference in favour of the addition of SIRT to hepatic arterial chemotherapy (p=0.033, Gray's test).
- In the outcome of tumour response, there was some evidence of a benefit favouring SIRT plus hepatic chemotherapy over hepatic chemotherapy alone, depending upon how tumour response was measured.
  - If tumour response was measured by tumour volume (blinded), there was a trend favouring SIRT plus hepatic chemotherapy (p=0.06).
  - If disease progression was measured by tumour area (unblinded) there was a statistically significant difference in favour of the addition of SIRT to hepatic arterial chemotherapy (p=0.02).
  - When re-analysed in a blinded manner, there was still a statistically significant difference in favour of the addition of SIRT to hepatic arterial chemotherapy (p=0.047).
- In the trial of systemic chemotherapy plus SIRT versus systemic chemotherapy alone, tumour response, as measured by first integrated response and best confirmed response was significantly higher for patients treated with systemic chemotherapy plus SIRT compared to patients treated with systemic chemotherapy alone.

#### What are the economic considerations?

#### **Estimated Utilisation**

Colorectal cancer is the most common cancer reported to Australian cancer registries. In 1997, there were 11,245 new cases of colorectal cancer reported and 4,678 deaths, accounting for approximately 14.1% of all new cases of cancer (excluding non-melanocytic skin cancer) and 13.8% of cancer related deaths (Australian Institute of Health and Welfare & Australasian Association of Cancer Registries 2000).

It is estimated that fifty per cent of patients with colorectal cancer will develop liver metastases within five years (Clinical Oncology Society of Australia & Australian Cancer Network 1999; Taylor 1996). In 20-40% of patients, this will be the only (or first) site of failure (Clinical Oncology Society of Australia & Australian Cancer Network 1999).

It is difficult to estimate how many patients might receive treatment with surgical resection alone. It is equally as difficult to try to estimate the numbers of patients from those not eligible for surgery who would receive systemic chemotherapy and how many may be considered for hepatic chemotherapy, as treatment practices for chemotherapy vary around Australia.

The table below indicates the number of occurrences of implantation of a port for delivery of cytotoxics from July 1998 until June 1999, however, as this data is only available for New South Wales, Victoria, Australian Capital Territory and Northern Territory (as these are the only states and territories that have instituted ICD-10-AM coding), it is likely to be an underestimate of the true number of people who have received hepatic arterial chemotherapy over this time.

### Table 27 Occurrences of principal procedure likely to be associated with hepatic arterial chemotherapy and SIRT

| ICD- code |         | Procedure                             | Year Table |          | Total number of occurrences (Public and Private) |  |
|-----------|---------|---------------------------------------|------------|----------|--|--|
| 30400-00  | ICD-10* | Ins VAD w atchmt intra-abdo vesl cath | 1998-99    | Table 13 | 162  |  |

\*ICD-10 only available for NSW, Victoria, ACT and Northern Territory; (Commonwealth Department of Health and Aged Care 2001)

It is unclear how many patients may receive HAC + SIRT instead of HAC alone, or indeed instead of systemic chemotherapy alone, if SIRT was approved for funding.

#### **Incremental Costs**

As discussed previously, it was decided *a priori* that the economic evaluation of SIRT would be conducted against a comparator of hepatic arterial chemotherapy. The incremental cost of adding SIRT to hepatic chemotherapy has been calculated (Table 28). Scenarios based on one and four days hospitalisation following administration of SIRT have been examined. All costs have been rounded to the nearest dollar.

|   | Item                   |                   |                | HAC + SIRT                 | HAC + SIRT                 |
|---|------------------------|-------------------|----------------|----------------------------|----------------------------|
| Procedure   | number or<br>Unit cost | Reference         | HAC (via port) | (4 day stay<br>after SIRT) | (1 day stay<br>after SIRT) |
| Insertion of PORT   |                        |                   |                |                            |                            |
| Implantation of PORT  | 30400                  | Medicare Schedule | \$492          | \$4920                     | \$492                      |
| Cholecystectomy*  | 30443                  | Medicare Schedule | \$576          | \$576                      | \$576                      |
| Anaesthesia fee*  | 17713                  | Medicare Schedule | \$192          | \$192                      | \$192                      |
| Hepatic angiogram   | 60078                  | Medicare Schedule | \$136          | \$136                      | \$136                      |
|   | 60027                  | Medicare Schedule | \$780          | \$780                      | \$780                      |
|   | 59921                  | Medicare Schedule | \$104          | \$104                      | \$104                      |
| Theatre fee   |                        | Application       | \$1,800        | \$1,800                    | \$1,800                    |
| Hospital stay after port insertion (days)                   |                        | Application       | 4              | 4                          | 4                          |
| Cost per Hospital day                                       | \$420                  | Application       |                |                            |                            |
| Cost of hospital stay after port insertion<br>SIRT Delivery |                        |                   | \$1,680        | \$1,680                    | \$1,680                    |
| Technetium scan for lung-liver breakthrough                 | 61499                  | Medicare Schedule | n/a            | \$221                      | \$221                      |
| SIRT handling and administration                            | 15331                  | Medicare Schedule | n/a            | \$581                      | \$581                      |
| Cost of SIRT  |                        | Application       |                | \$6,800                    | \$6,800                    |
| Hospital stay after SIRT administration (days)              |                        |                   | n/a            | 4*                         | 1**                        |
| Cost per Hospital day                                       | \$420                  | As above          |                |                            |                            |
| Cost of hospital stay after SIRT administration             |                        |                   | n/a            | \$1,680                    | \$420                      |
| Angiotensin II inhibitor                                    |                        | PBS               | n/a            | \$1                        | \$1                        |
| H-2 inhibitor   |                        | PBS               | n/a            | \$10                       | \$10                       |
| Anti-nausea medication                                      |                        | PBS               | n/a            | \$5                        | \$5                        |
| Chemotherapy delivery                                       | -                      |                   | Equivalent     | Equivalent                 | Equivalent                 |
|   |                        |                   |                |                            |                            |
| I otal costs (excluding chemotherapy) <sup>1</sup>          |                        |                   | \$5,760        | \$15,058                   | \$13,798                   |
| Incremental Cost of SIRT over HAC alone                     |                        |                   |                | \$9,298                    | \$8,038                    |

Table 28 Incremental cost of HAC + SIRT over HAC alone

\* NHMRC Guidelines; \*\* Applicant

<sup>1</sup> assumed an equivalent duration and type of chemotherapy for HAC alone and HAC + SIRT patients

As discussed earlier, the duration of stay in hospital after administration of SIRT has been costed at one day by the applicant. However, the current NHMRC recommendation (National Health and Medical Research Council 1984) on discharge of patients who have undergone treatment with radioactive substances specifies that discharge should not occur until total activity remaining in the patient has dropped to 1200 MBq. As the dose of yttrium-90 delivered for SIRT is 2-4 GBq, and the half life is >67 hours, the patient may require a 3-4 day hospital stay.

The incremental cost of administering SIRT plus HAC over HAC alone ranges between \$8,038 and \$9,298, depending upon the length of hospital stay after SIRT administration.

#### **Incremental Effectiveness**

As the differences between overall survival and progression-free survival in the randomised trial of HAC plus SIRT versus HAC alone were not statistically significant, it is not appropriate to calculate incremental cost effectiveness ratios based on final outcomes. A clinical endpoint, such as tumour response (as measured by response in the liver) is the only measure available from this trial upon which cost effectiveness can be calculated.

The applicant classified tumour response as the aggregation of patients who had a complete response (CR), partial response (PR) or no change (NC). The conventional measure of tumour response, however, only includes patients with a complete response (CR) or partial response (PR) to therapy. Data in Table 29 are from both the original analysis, where the primary investigator assessed tumour response and the re-analysis where a blinded independent reader conducted the assessment of tumour response. Tumour response is classified as CR + PR, calculations are presented in Appendix E.

| Variable                                  | Original analysis |            | Re-analysis |            |
|---|-------------------|------------|-------------|------------|
| Treatment group                           | SIRT + HAC        | HAC alone  | SIRT +HAC   | HAC alone  |
| Total number                              | 39                | 35         | 39          | 35         |
| Number with CR                            | 2                 | 0          | 2           | 1          |
| Number with PR                            | 14                | 6          | 16          | 7          |
| Total number with response                | 16                | 6          | 18          | 8          |
| Response rate                             | 41% (16/39)       | 17% (6/35) | 46% (18/39) | 23% (8/35) |
| Difference in response rate               | 24%               |            | 23%         |            |
| 95% Confidence interval around difference | 4% to 45%         |            | 1% to 43%   |            |
| p value (Fisher's exact test)             | 0.04              |            | 0.05        |            |

 Table 29
 Response rates as measured by tumour area (all patients) (CR + PR)

CR -complete response; PR - partial response

#### Incremental cost effectiveness ratio (ICER)

The incremental cost effectiveness ratio of HAC + SIRT over HAC alone is calculated as follows:

ICER =

Total Cost of HAC + SIRT – Total cost of HAC alone

Proportion of responders to HAC + SIRT – Proportion of responders to HAC alone

Tables 30 and 31 provide the incremental cost effectiveness ratio for SIRT plus HAC over SIRT for both the original tumour response data and the re-analysed tumour response data. Costs remain constant for both analyses.

| Table 30 Inc | cremental costs and benefits | of SIRT (original data) |
|--------------|------------------------------|-------------------------|
|--------------|------------------------------|-------------------------|

|  | HAC alone | HAC + SIRT<br>(4 day hospital<br>stay)                       | Incremental<br>difference | HAC + SIRT (1<br>day hospital<br>stay)                       | Incremental<br>difference |  |
|--|-----------|--|---------------------------|--|---------------------------|--|
|  | (1)       | (2)  | (2) – (1)                 | (3)  | (3) – (1)                 |  |
| Total cost   | \$5,760   | \$15,058   | \$9,298                   | \$13,798   | \$8,038                   |  |
| Total benefit (proportion of patients with any response (in the liver) to treatment) | 0.17      | 0.41   | 0.24                      | 0.41   | 0.24                      |  |
| ICER   |           | \$38,742 per additional patient with a response in the liver |                           | \$33,492 per additional patient with a response in the liver |                           |  |
| 95% CI around difference in<br>proportion of responders                              |           | 0.04 to 0.45 0.04 to 0                                       |                           | 0.04 to 0.45   | ).04 to 0.45              |  |
| 95% CI around ICER   |           | \$20,662 to \$232,4  | 50                        | \$17,862 to \$200,9  | 50                        |  |

Calculations are not exact, as data has been rounded

| Table 31 | Incremental costs and benefits of SIRT ( | (re-analysed data) |
|----------|--|--------------------|
|          |  |                    |

|  | HAC alone | HAC + SIRT<br>(4 day hospital<br>stay)                          | Incremental difference | HAC + SIRT (1<br>day hospital<br>stay)                       | Incremental difference |
|--|-----------|---|------------------------|--|------------------------|
|  | (1)       | (2)   | (2) – (1)              | (3)  | (3) – (1)              |
| Total cost   | \$5,760   | \$15,058  | \$9,298                | \$13,798   | \$8,038                |
| Total benefit (proportion of<br>patients with any response (in<br>the liver) to treatment) | 0.23      | 0.46  | 0.23                   | 0.46   | 0.23                   |
| ICER   |           | \$39,911 per additional patient with<br>a response in the liver |                        | \$34,503 per additional patient with a response in the liver |                        |
| 95% CI around difference in<br>proportion of responders                                    |           | 0.01 to 0.43  |                        | 0.01 to 0.43   |                        |
| 95% CI around ICER   |           | \$21,626 to \$669,0   | 17                     | \$18,696 to \$578,356  |                        |

Calculations are not exact, as data has been rounded

#### Conclusions

- Treatment practices for hepatic metastases vary between hospitals in Australia
- True estimates of the number of patients who might use this therapy if it were available are difficult to obtain. The reasons for this are:
  - It is unclear how many patients currently receive treatment with hepatic chemotherapy, and how many of these patients would also receive SIRT if it was approved; and
  - It is unclear how many patients who are currently treated with systemic chemotherapy would be changed to treatment with HAC plus SIRT if it was available.
- Costs included here are direct medical costs and do not take into account indirect or societal costs.

- Using data from the original analysis, the incremental cost-effectiveness ratio for SIRT is \$38,742 per additional patient who has a response in the liver. Depending upon assumptions outlined above and the 95% confidence interval of the estimate of benefit, this might plausibly be as low as \$17,862 or as high as \$232,450 per additional patient who has a response in the liver
- Using data from the re-analysis, the incremental cost-effectiveness ratio for SIRT is \$39,911 per additional patient who has a response in the liver. Depending upon assumptions outlined above and the 95% confidence interval of the estimate of benefit, this might plausibly be as low as \$18,696 or as high as \$669,017 per additional patient who has a response in the liver.

### Conclusions

#### Safety

#### **Patient safety**

The randomised trials provided limited information regarding patient safety. It appears that the addition of SIRT to hepatic arterial chemotherapy may result in additional elevation of hepatic enzymes (alkaline phosphatase), and more nausea and vomiting than hepatic arterial chemotherapy alone. Similarly, the addition of SIRT to systemic chemotherapy appeared to result in more grade 3-4 toxicities (including granulocytopenia and mucositis) than did systemic chemotherapy alone. There was one treatment related death in the combined treatment arm of this trial.

In both trials, patients often experienced abdominal pain after administration of SIR-Spheres which in some cases required narcotic analgesia.

Uncontrolled evidence suggests that administration of selective internal radiation therapy (with SIR-Spheres, or other similar agents) will commonly result in liver enzyme elevations, fatigue and lethargy, anorexia, nausea and/or vomiting and gastrointestinal symptoms. There have been a small number of cases of fatal radiation hepatitis, gastrointestinal ulceration or haemorrhage, and radiation pneumonitis.

#### Personnel safety

From data reported in a small number of publications, and from information supplied by the applicant, it would appear that the doses of radiation delivered to personnel are reasonably low and are within ranges recommended by the National Occupational Health and Safety Commission (National Occupational Health and Safety Commission 1995). The current NHMRC recommendation (National Health and Medical Research Council 1984) on discharge of patients who have undergone treatment with radioactive substances specifies that discharge should not occur until total activity remaining in the patient has dropped to 1200 MBq. As the dose of yttrium-90 delivered for SIRT is 2-4 GBq, and the half life is >67 hours, the patient may require a 3-4 day hospital stay (not the one day stay as in the randomised controlled trial) before reaching this level.

#### Effectiveness

There is some evidence that addition of SIRT to hepatic chemotherapy may be more effective than hepatic chemotherapy alone in terms of tumour response in the liver. Depending upon the method of measuring tumour response, there may have been improved tumour response rates for patients receiving treatment with SIRT. When tumour response was measured by changes in tumour volume, there was a trend favouring SIRT plus hepatic chemotherapy in tumour response. When tumour response was measured by tumour area, patients treated with hepatic chemotherapy plus SIRT had significantly more tumour responses than patients treated with chemotherapy alone.

There is also some evidence to suggest that the addition of SIRT to systemic chemotherapy offered improvements in tumour response as measured by both 'first integrated response' and 'best confirmed response'

The addition of SIRT to hepatic chemotherapy may prolong time to disease progression in the liver. Depending upon the method of measuring disease progression in the liver, there may have been a benefit for patients receiving SIRT in addition to hepatic chemotherapy. If disease progression was measured by tumour volume, there was a trend favouring SIRT plus hepatic chemotherapy in time to first disease progression in the liver. If disease progression was measured by tumour area, and analysed with a competing risks model, there was a significant difference favouring SIRT plus hepatic chemotherapy (p=0.033, Gray's test).

There is insufficient evidence from the trial of hepatic chemotherapy plus SIRT to determine the effect of SIRT on progression-free or overall survival. There was no statistically significant difference in overall or progression-free survival between patients treated with hepatic arterial chemotherapy and those treated with hepatic chemotherapy and SIRT. However, the trial was insufficiently powered to detect a moderate and clinically important difference in overall and progression-free survival.

The small trial of systemic chemotherapy plus SIRT versus systemic chemotherapy alone suggested that the time to progressive disease in the combination arm was significantly longer, however, overall survival was not measured.

Quality adjusted survival was not reported in either randomised trial. Neither trial was adequately powered to detect a difference in quality of life measures.

#### **Cost-effectiveness**

It is not possible to give a reliable estimate of cost per life year saved (LYS) or cost per quality adjusted life year (QALY) due to the lack of reliable evidence of benefit on these outcomes.

Using data from the original analysis, the incremental cost-effectiveness ratio for SIRT is \$38,742 per additional patient who has a response in the liver. Depending upon assumptions and the 95% confidence interval of the estimate of benefit, this might plausibly be as low as \$17,862 or as high as \$232,450 per additional patient who has a response in the liver.

Using data from the blinded re-analysis of tumour response, the incremental costeffectiveness ratio for SIRT is \$39,911 per additional patient who has a response in the liver. Depending upon assumptions and the 95% confidence interval of the estimate of benefit, this might plausibly be as low as \$18,696 or as high as \$669,017 per additional patient who has a response in the liver.

A comprehensive Australian-based assessment of costs and effects associated with systemic chemotherapy, hepatic arterial chemotherapy and SIRT is needed to provide a basis for a comparison between systemic therapy and hepatic chemotherapy with or without SIRT.

### Recommendation

Since there is currently insufficient evidence of effectiveness and cost-effectiveness for Selective Internal Radiation Therapy (SIRT) using SIR-spheres<sup>®</sup>, MSAC recommended that public funding should not be supported at this time for this procedure.

The data suggests that the treatment is reasonably safe and has anti-tumour activity. However, it is not clear whether this anti-tumour activity translates into a survival or quality of life benefit to the patient.

- The Minister for Health and Ageing accepted this recommendation on 28 August 2002 -

# Appendix A MSAC terms of reference and membership

#### MSAC's terms of reference are to:

- advise the Minister for Health and Ageing on the strength of evidence pertaining to new and emerging medical technologies and procedures in relation to their safety, effectiveness and cost-effectiveness and under what circumstances public funding should be supported;
- advise the Minister for Health and Ageing on which new medical technologies and procedures should be funded on an interim basis to allow data to be assembled to determine their safety, effectiveness and cost-effectiveness;
- advise the Minister for Health and Ageing on references related either to new and/or existing medical technologies and procedures; and
- undertake health technology assessment work referred by the Australian Health Ministers' Advisory Council (AHMAC), and report its findings to AHMAC.

The membership of MSAC comprises a mix of clinical expertise covering pathology, nuclear medicine, surgery, specialist medicine and general practice, plus clinical epidemiology and clinical trials, health economics, consumers, and health administration and planning:

| Member                           | Expertise or Affiliation  |
|----------------------------------|---|
| Mr Stephen Blamey (Chair)        | general surgery   |
| Professor Bruce Barraclough      | general surgery   |
| Professor Syd Bell               | pathology   |
| Dr Paul Craft                    | clinical epidemiology and oncology  |
| Professor Ian Fraser             | reproductive medicine   |
| Associate Professor Jane Hall    | health economics  |
| Dr Terri Jackson                 | health economics  |
| Ms Rebecca James                 | consumer health issues  |
| Professor Brendon Kearney        | health administration and planning  |
| Mr Alan Keith                    | Assistant Secretary, Diagnostics and Technology Branch,<br>Commonwealth Department of Health and Ageing |
| Associate Professor Richard King | internal medicine   |
| Dr Ray Kirk                      | health research   |
| Dr Michael Kitchener             | nuclear medicine  |
| Mr Lou McCallum                  | consumer health issues  |
| Emeritus Professor Peter Phelan  | paediatrics   |

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### Appendix B Supporting committee

Supporting committee for MSAC application 1034 Selective Internal Radiation Therapy using SIR-Spheres

#### **Dr John Primrose (Chair)**

MB BS (Hons), FRACR Senior Medical Adviser, Health Access and Financing Division Commonwealth Dept of Health and Ageing

#### **Mr Stephen Blamey**

BSc, MBBS, FRACS Consultant General and Gastrointestinal Surgeon Monash Medical Centre, Melbourne

#### **Dr David Macfarlane**

MBBS Hons, FRACP Staff Specialist, Department of Nuclear Medicine, Royal Brisbane Hospital

#### **Mr Russell McGowan**

Consumer Representative Health Care Consumers' Association of the ACT

#### **Professor John Zalcberg**

MBBS, PhD, FRACP Director, Haematology and Medical Oncology Peter MacCallum Cancer Institute, Melbourne Chair of MSAC

Medical Adviser to MSAC

#### Nominated by the Australian and New Zealand Association of Physicians in Nuclear Medicine (ANZAPNM)

Nominated by the Consumer Health Forum

Nominated by the Medical Oncology Group of Australia

### **Controlled evidence**

Quality assessment of submitted publications of randomised controlled trials (Gray et al. 2000a; Gray et al. 2001d) and in-confidence data from the manufacturer's application for the trial of HAC plus SIRT versus HAC alone (SIRTeX Medical Ltd. 2000c) was conducted using the MERGE instrument, Checklist 2 – Studies assessing the effect of interventions (Liddle, Williamson, & Irwig 1996).

Evaluation criteria for the study containing the main components of study quality to be considered are shown in Table 32. The evaluation of the quality of a study, review or guideline provides information to assist in deciding whether researchers or guideline developers have taken the necessary steps to prevent the over- or underestimation of the true effect of interventions, risk factors, diagnostic test accuracy and guideline recommendations.

| Evaluation criteria are coded according to the extent to which the criteria are fulfilled | Code |
|---|------|
| Criterion entirely fulfilled  | а    |
| Criterion mostly fulfilled  | b1   |
| Criterion mostly not fulfilled  | b2   |
| Criterion not at all fulfilled  | С    |
| Criterion not described adequately to classify as (a), (b1), (b2) or (c)                  | ?    |
| Criterion not applicable  | n/a  |

| Table 32 | Coding | for evaluation | criteria |
|----------|--------|----------------|----------|
|          |        |                |          |

Overall assessment of the study allows the reviewer to assess and code the overall quality of the study using the codes in Table 33. Study quality is coded as A, B1, B2, C - these codes are intended to be compatible with those of the Cochrane Collaboration.

| Level of Bias                   | Code | Explanation  |
|---------------------------------|------|--|
| Low risk of bias                | А    | Al I or most evaluation criteria from the checklist are fulfilled. Where evaluation criteria are not fulfilled, the conclusions of the study or review are thought very unlikely to alter.                     |
| Low – moderate<br>risk of bias  | B1   | Some evaluation criteria from the checklist are fulfilled. Where evaluation criteria are not fulfilled or are not adequately described, t he conclusions of the study or review are thought unlikely to alter. |
| Moderate –<br>high risk of bias | B2   | Some evaluation criteria from the checklist are fulfilled. Where evaluation criteria are not fulfilled or are not adequately described, t he conclusions of the study or review are thought likely to alter.   |
| High risk of bias               | С    | Few or no evaluation criteria fulfilled. Where evaluation criteria are not fulfilled or are not adequately described, the conclusions of the study or review are thought very likely to alter.                 |

 Table 33
 Codes for overall assessment of quality of study checklists

#### Table 34 Assessment of SIRT trials

| Descriptive information abut the study                                     | Notes  | Description   |   |
|--|--|---|---|
|  |  | HAC + SIRT vs HAC alone   | Systemic chemo + SIRT vs<br>Systemic chemo alone  |
| Study identification   | Include author, title, reference and year of publication (if available) and the study timeframe  | This checklist assesses both the trial report and the submitted publication from Gray et al, of HAC versus HAC + SIRT   | Unpublished trial data (publication<br>submitted)<br>study timeframe not stated   |
| How is the study<br>described?   | Randomised controlled trial (RCT), non-<br>randomised controlled trials (N-RCS), cohorts,<br>before and after studies (BAS) with/without<br>controls, case control studies (C-CS) – define<br>whether population or hospital-based case control<br>study | RCT   | RCT   |
| What interventions are<br>considered and how are<br>they implemented?      |  | Hepatic arterial chemotherapy<br>compared to hepatic arterial<br>chemotherapy plus selective internal<br>radiation therapy using SIR-Spheres  | Systemic chemotherapy compared to<br>systemic chemotherapy plus selective<br>internal radiation therapy using SIR-<br>Spheres   |
| Is the intervention aimed at individuals or populations?                   | eg drug trial (for individuals), mass media<br>campaign (for populations)  | Individuals   | Individuals   |
| What outcomes are considered?  | ie benefits and harms  | Tumour response to therapy<br>(measured by tumour area, tumour<br>volume and CEA); time to progressive<br>disease (at any site, liver) survival,<br>QOL, toxicity   | Tumour response to therapy measured<br>by RECIST criteria: first integrated<br>response, best confirmed response;<br>time to progressive disease, QOL,<br>toxicity  |
| What factors other than the<br>intervention could affect<br>the outcomes   | Include potential confounding factors, differences<br>in baseline characteristics between intervention<br>and control groups   | Baseline characteristics: age, sex,<br>regional lymph node involvement, size<br>of liver metastases; prior treatment for<br>liver metastases, time from diagnosis<br>of liver metastases to randomisation | Baseline characteristics: age, sex,<br>regional lymph node involvement, size<br>of liver metastases; prior treatment for<br>liver metastases, time from diagnosis<br>of liver metastases to randomisation |
|  |  | Administration of non-protocol chemotherapy   | Administration of non-protocol chemotherapy   |
| What are the<br>characteristics of the<br>population and study<br>setting? | Population characteristics eg age, sex, disease<br>characteristics of the population, disease<br>prevalence. Study setting eg rural, urban, hospita<br>inpatient or outpatient, general practice,<br>community   | 74 patients (70 'eligible patients')<br>between May 1, 1991 and May 1,<br>1997; trial design specified recruitment<br>of 95 patients, but trial was stopped<br>early; 57M, 17F age range not<br>indicated | 21 patients (10 control, 10<br>experimental)<br>unstated recruitment period<br>Three Australian hospitals (2 WA, 1<br>Qld)  |
| How many groups / sites in   |  | Two teaching hospitals in Perth   | Three   |
| the study?   |  |   |   |

| Evaluation Criteria for the study  |             |        |     |          | Comm  | Code Options   |            |                       |
|--|-------------|--------|-----|----------|---|--|------------|-----------------------|
| Evaluation Criteria for the study  |             |        |     |          | Comm  | a, b1, b2, c, ?, n/a   |            |                       |
|  |             |        |     |          | HAC + SIRT  | Systemic chemotherapy + SIRT   | HAC + SIRT | Syst. Chemo<br>+ SIRT |
| What is  | the Study i | Туре?  |     |          | RCT   | RCT  |            |                       |
| RCT  | N-RCS       | Cohort | BAS | C-CS     |   |  |            |                       |
| Are the study participants well-defined in terms of time, place and person?  |             |        |     |          |   |  | n/a        | n/a                   |
|  | N-RCS       | Cohort | BAS | C-CS     |   |  |            |                       |
| Is the method of allocation to intervention and<br>control groups/sites independent of the<br>decision to enter the individual or group in the<br>study (adequate allocation concealment)? |             |        |     |          | It is unclear from the trial report or the<br>submitted publication what the method<br>of randomisation was. The protocol<br>indicates that randomisation was | Randomisation achieved by calling an<br>off-site independent randomisation<br>centre | b1         | а                     |
| RCT  |             |        |     |          | achieved by a blind code envelope in a blocked randomisation format   |  |            |                       |
| What percentage (%) of individuals or clusters refused to participate?   |             |        |     | clusters |   |  | n/a        | n/a                   |
|  | N-RCS       | Cohort | BAS | C-CS     |   |  |            |                       |

| Evaluation Criteria for the study  |  |                                    |  |                                     | Comm   | Code Options  |  |             |
|--|--|------------------------------------|--|-------------------------------------|--|---|--|-------------|
|  |  |                                    | <i><i>n</i> the sta</i>  | ay .                                |  |   | a, b1, b2                                      | , c, ?, n/a |
| Are individuals within groups/clusters blind to<br>which intervention group they belong AND are<br>those delivering the intervention (health<br>professionals, carers) blind to the intervention<br>group? |  |                                    |  | blind to<br>AND are<br>h<br>vention | No- patients and staff were not blinded to treatment allocation  | No- patients and staff were not blinded<br>to treatment allocation  | С  | С           |
| RCT  | N-RCS                                    |                                    |  |                                     |  |   |  |             |
| ls exposi<br>standard<br>recall bia  | ure to inter<br>, valid and<br>is)?      | rventions<br>I reliable v          | measured<br>vay (avoid   | l in a<br>ance of                   |  |   | n/a  | n/a         |
|  |  | <u> </u>                           | <u> </u>   | C-CS                                |  |   |  |             |
| ls exposi<br>same wa<br>(NB obje<br>criteria)  | ure to inter<br>y for both<br>ctive meas | rventions<br>case and<br>sures wou | measured<br>control gro<br>Ild meet th                         | in the<br>oups?<br>nis              |  |   | n/a  | n/a         |
|  |  |                                    |  | C-CS                                |  |   |  |             |
| Are outcomes measured in a standard, valid<br>and reliable way?  |  |                                    | standard,  |                                     | Tumour Area, the conventional<br>response measure was measured by 1<br>doctor (the primary investigator) who<br>was not blinded to treatment allocation -<br>possibility of bias introduced into area<br>measurement Tumour volumes were<br>measured by 2 independent doctors        | Tumour response based on RECIST<br>criteria (unclear whether these are<br>conventional criteria in Australia)<br>CT scans read by blinded independent<br>experienced reader | b2   | b1          |
| RUI  | N-KUS                                    | Сопин                              | BAS  | し-しろ                                | who were blinded to treatment allocation   |   |  |             |
| Are outcomes measured in the same way for both<br>intervention and control groups? (NB Blinding or<br>objective measures would meet this criteria)   |  |                                    |  | ′ for both<br>ding or<br>ia)        | Appears to be the same for both groups<br>but tumour areas were measured by 1<br>doctor involved in the selection and  | Blinded interpretation of CT scans for tumour response  | b1   | а           |
| RCT  | N-RCS                                    | Cohort                             | BAS  | C-CS                                | treatment of patients who was not blind<br>to treatment allocation   |   |  |             |
| Are factors other than the intervention eg<br>confounding factors, comparable between<br>intervention and control groups and if not<br>comparable, are they adjusted for in the analysis?                  |  |                                    | vention eg<br>ble betweer<br>and if not<br>for in the a<br>BAS | ו<br>analysis?<br>C-CS              | Appear to be comparable between groups   | Appear to be comparable between groups  | а  | а           |
| What percentage (%) of individuals or clusters recruited into the study are not included in the analysis? (loss to follow up)  |  |                                    | luals or clu<br>included in                                    | sters<br>1 the                      | In the trial report, many outcomes were<br>reported in 2 ways: eligible patients only<br>and all patients, for some outcomes eg<br>survival, it is not possible to tell whether<br>all or eligible only patients were used<br>The submitted paper includes only<br>eligible patients | Appears all patients randomised have been included in analysis  | b1   | а           |
| RCT  | N-RCS                                    | Cohort                             | BAS  | C-CS                                | Original trial designed to recruit 95 patients, trial stopped at 74  |   |  |             |
| Is the analysis by intention to intervene (treat)?       RCT     N-RCS       BAS   |  |                                    | itervene (tr<br>BAS  | eat)?                               | In the trial report, many outcomes were<br>reported in 2 ways: eligible patients only<br>and all patients, for some outcomes eg<br>survival, it is not possible to tell whether<br>all or eligible only patients were used<br>The submitted paper includes only<br>eligible patients |   | b1 (trial<br>report)<br>c (submitted<br>paper) | а           |
| Are result<br>(multicen  | ts homoger<br>tre / multisi              | nous betwe<br>ite studies          | en sites?<br>only)   |                                     | Results are not reported separately for individual centre, criteria cannot be  | Results are not reported separately for individual centre, criteria cannot be   | ?  | ?           |
| RCT  | N-RCS                                    | Cohort                             | BAS  | C-CS                                | assessed   | assessed  |  |             |

| Overall assessment of the study   | Comment   | Code options  |   |   |
|---|---|---|---|---|
|   |   |   | A, B1,  | B2, C   |
| How well (code A, B1, B2, C – see Table<br>33) was the study done to minimise bias?<br>If coded to B1, B2, or C, what is the likely<br>direction in which bias might affect the<br>study results? | Patients and investigators not blinded;<br>there was not concealment of treatment<br>allocation; the submitted paper only<br>reports data on eligible patients, is not an<br>ITT; trial report: for some endpoints, it<br>cannot be determined whether ITT or<br>eligible only analysis; the most<br>conventional measure of tumour response<br>to treatment (tumour area) was measured<br>by only 1 author (the primary investigator)<br>who was likely not blinded; while this is<br>probably not going to affect the overall<br>direction of the outcome, it may lead to an<br>overestimation of the true effect of SIR-<br>Spheres on tumour response and<br>therefore of true therapeutic benefit. | Patients and investigators not<br>blinded to treatment allocation,<br>but assessment of CT scan<br>(tumour response outcome) is<br>assessed by blinded<br>independent reader<br>randomisation performed offsite<br>by independent group | B1<br>some criteria<br>fulfilled, where<br>not fulfilled, or<br>described,<br>conclusions<br>thought unlikely<br>to alter | A<br>All or most<br>criteria<br>fulfilled;<br>where not<br>fulfilled,<br>conclusions<br>unlikely to<br>alter. |
| Is the overall effect of the study due to the study intervention?   | Probably  | Likely  |   |   |
| If the study type is not an RCT, explain if<br>there is any practical or ethical reason<br>why an RCT cannot be done.   | n/a   | n/a   |   |   |
| Include other comments concerning areas<br>for further research, applicability of<br>evidence to target population, importance<br>of study to policy development                                  | n/a   | Small study size is the major<br>limitation of this trial (n=21)  |   |   |

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|   |                |   |                              |   | 1   | 1  |  |   |   |
|---|----------------|---|------------------------------|---|---|--|--|---|---|
| Study   | LM or<br>PLC*  | N*  | Type of<br>microspheres      | Institution   | Study perspective   | Patient characteristics  | Efficacy data (if applicable*, **  | Safety Data   | Comments*   |
| (Andrews et al.<br>1994a)                     | LM +<br>PLC    | 24<br>n=17 LM from CRC<br>n=6 LM from<br>neuroendocrine<br>tumours<br>n=1 PLC                                       | Glass matrix<br>TheraSphere® | University of<br>Michigan<br>Medical<br>Centre, Ann<br>Arbor                                    | Prospective<br>Phase I study,<br>clearly defined<br>inclusion criteria<br>Dose ranging<br>study to<br>determine hepatic<br>tolerance  | Failed conventional therapy,<br>no prior radiotherapy; CT<br>evidence of PD<br>Estimated whole liver dose<br>5000cGy (2pts); 7500cGy<br>(6pts); 10000cGy (7pts);<br>12500cGy (6pts); 15000cGy<br>(3 pts) | 17 LM from CRC<br>PR (5); minimal response (1);<br>SD (4); PD (7)<br>Median survival 60 weeks<br>Neuroendocrine LM (6)<br>Minimal response (3); SD (3)<br>PLC: (1) PD  | Mild transient increases in<br>transaminase levels (all);<br>transient fever (4); fatigue<br>(18); gastritis (4)<br>No pulmonary fibrosis noted<br>with 53 months followup<br>No hepatic or haematological<br>toxicity            | Not used in combination with any HAC  |
| (Blanchard, Morrow,<br>& Sutherland<br>1989b) | LM +<br>PLC    | 16 treated<br>n=8 LM from CRC,<br>n=3 LM from<br>carcinoid; n=4 LM<br>from other tumours;<br>n=1 PLC<br>20 controls | Carbonised<br>plastic        | University of<br>Manitoba,<br>Health<br>Sciences<br>Centre,<br>Winnipeg,<br>Manitoba,<br>Canada | Prospective:<br>09/76 to 09/78<br>40 patients<br>screened<br>clinically, 36 with<br>angiography<br>16 had active<br>treatment; 20<br>patients not<br>eligible acted as<br>'control group' | Treated patients: 7F, 9M<br>No other information   | 13/16 had follow up<br>angiography<br>n=5 tumour decreased to <<br>50% of pre=trtmt diameter and<br>to < 13% of pre-trtmt volume;<br>n=2 decrease of at least 50%<br>in tumour volume<br>Survival: treated<br>Mean = 62 wks; Mdn = 55wks<br>Survival control<br>Mean = 30wks; Mdn = 22 wks | Transient hepatic enzyme<br>elevation, n=3/16<br>Radiation gastritis n=2<br>Ulcer n=2; ulcer requiring<br>surgery, n=1; radiation<br>gastritis and ulcer n=1<br>Nausea n=5<br>Anorexia n=5<br>No cholecystitis or<br>pancreatitis | Predetermined criterion of<br>effective treatment: 50%<br>decrease in calculated<br>volume of hepatic tumour on<br>follow-up  |
| (Grady et al. 1983c)                          | LM +<br>PLC    | 16<br>n=13 LM from CRC<br>n=1 LM from<br>adrenal tumour<br>n=1 LM from breast<br>n=1 PLC                            | Resin                        | Georgia<br>Institute of<br>Technology<br>and Emory<br>University<br>Clinic, Atlanta,<br>US      | ? perspective;<br>assume all<br>patients treated<br>over previous 3<br>years  | n/a  | No response data reported  | Fatal radiation hepatitis, n=1<br>Peptic ulceration, n=1  | Combined with regional hyperthermia   |
| (Gray et al. 1992f)                           | LM from<br>CRC | 29  | SIR-Spheres                  | Royal Perth<br>Hospital,<br>Perth, Western<br>Australia,<br>Australia                           | ?unclear whether<br>selected patients<br>or consecutive<br>patients<br>No date ranges<br>reported<br>Assume<br>retrospective  | N=24 no prior therapy for LM<br>n=4 previous HAC only;<br>N=1 HAC and systemic trtmt   | Efficacy measured by tumour<br>volume and CEA, no tumour<br>area data<br><u>Tumour volume</u> (22/29 eval)<br>N=18 had a decrease in tum.<br>Vol. (10 > 50% decrease)<br>N=4 had an increase in tum.<br>Vol.<br><u>CEA</u> (26/29 eval)<br>N=23 >50% decrease in CEA<br>N=9 CEA normalised | No safety data reported   | Unclear whether patients are<br>selected or consecutive<br>It is unclear how many<br>patients were not evaluated<br>(report says 7, 8 and 9 in<br>different places)<br>It is unclear at what time<br>point efficacy was evaluated |

#### Table 35 Patient data and results from uncontrolled evidence

\* LM – Liver metastases; PLC - primary liver cancer; CRC – colorectal cancer; FUDR – floxuridine; CT - computed tomography; US - ultrasound

\*\*Response criteria: CR – complete response; PR: partial response; SD: stable disease; PD: progressive disease; PT: pre-treatment

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| Study                | LM or<br>PLC*  | N*   | Type of<br>microspheres | Institution   | Study perspective   | Patient characteristics   | Efficacy data (if applicable*, **  | Safety Data  | Comments*   |
|----------------------|----------------|--|-------------------------|---|---|---|--|--|---|
| (Gray et al. 2000b)  | LM from<br>CRC | 71 (62 'evaluable')<br>66 had 1 x SIRT<br>5 had 2 x SIRT                             | SIR-Spheres             | Royal Perth<br>Hospital,<br>Perth, Western<br>Australia,<br>Australia | Consecutive<br>patients<br>No date range<br>provided<br>Unclear whether<br>prospective or<br>retrospective  | 43M, 28F<br>33-76 yrs   | Efficacy measured by tumour<br>volume and CEA, no tumour<br>area data<br><u>Tumour volume</u> (51/71 eval)<br>N=7 volume increase<br>N=6 <30% volume decrease<br>(N=38 >30% volume decrease<br>(28 had > 50% volume<br>decrease) (PR)<br><u>CEA</u> (60/71 evaluable)<br>N=3 CEA increased<br>N=4 CEA decrease <50% on PT<br>levels (=SD)<br>N=22 CEA decr. to normal (CR)<br>N=31 CEA decrease $\geq$ 50%, but<br>not normalised (PR)<br><u>Survival</u><br>Mdn survival from SIRT<br>administration: 9.9 mo<br>Mdn survival from diagnosis of<br>liver metastases: 17.3 mo | N=1 fatal radiation hepatitis<br>No cases of biliary sclerosis<br>Transient abdominal pain<br>and nausea common  | Authors report that there<br>'was the intention to treat all<br>patients with $\geq 1$ cycle FUDR<br>for 12 days per cycle,<br>repeated until disease<br>progression to be started<br>within 24 hours of SIRT"<br>N=33 had additional<br>chemotherapy after FUDR<br><u>CEA</u><br>PR = >50% ? in CEA<br>CR = ? in CEA to normal<br><u>Tumour volume</u><br>PR = >30% ? in volume (><br>50% also measured) |
| (Herba et al. 1988h) | LM +<br>PLC    | 15<br>n=12 LM from CRC<br>n=1 LM from carcinoid<br>n=1 LM from islet cell<br>n=1 PLC | Glass<br>TheraSphere    | Montreal<br>General<br>Hospital,<br>Montreal,<br>Quebec,<br>Canada    | Prospective<br>Phase I/II study<br>Clear protocol<br>defined inclusion<br>criteria<br>To assess toxicity<br>and any<br>preliminary<br>therapeutic<br>response | 12M, 3 F mean age 62 (50-<br>74 yrs)<br>Three dose levels<br>5000 cGy (10 pts)<br>7500 cGy (3 pts)<br>10000 cGy (2 pts) | Mean follow up 7 months (2-<br>12)<br>N=10 had SD (@ mean f/up of<br>4mo [2-12])<br>N=5 had PD (@ mean f/up 7.5<br>mo [7-8])<br>N=2 had a decrease in tumour<br>marker   | 14/15 incr. liver enzymes<br>1/15 WBC fluctuations (few<br>weeks), no other haem FX<br>2/15 mild, temporary incr.<br>serum bilirubin<br>3/15 pyloric ulceration /<br>duodenitis 6-8 weeks after<br>treatment<br>1/15 GI tract haemorrhage<br>with history (2-3 yrs<br>previously) bleeding<br>duodenal ulcer | 7 followed up with CT; 7<br>followed up with US, 2 were<br>followed by both<br>one patient no follow up<br>(died at 8 months with PD)   |
| (Ho et al. 1997i)    | PLC +<br>LM    | 100<br>n=94 PLC<br>n=6 LM  | SIR-Spheres             | Prince of<br>Wales Hospital<br>Shatin, Hong<br>Kong                   | No date range<br>reported<br>Unclear whether<br>consecutive or<br>selected patients<br>Unclear whether<br>retrospective or<br>prospective                     | Not reported  | Not relevant<br>LM efficacy data is not able to<br>be separated from PLC data  | N=1 radiation pneumonitis<br>N=1 radiation pneumonitis<br>after 2 <sup>nd</sup> dose<br>N=3 (with > 20% lung<br>shunting) developed<br>radiation pneumonitis   | 21 patients had multiple treatments   |

| Table 35 | Patient data and results from uncontrolled evidence (continued) |
|----------|---|
|          |   |

\* LM – Liver metastases; PLC - primary liver cancer; CRC – colorectal cancer; FUDR – floxuridine; CT - computed tomography; US - ultrasound

\*\*Response criteria: CR – complete response; PR: partial response; SD: stable disease; PD: progressive disease; PT: pre-treatment

| Study                                | LM or<br>PLC* | N*  | Type of<br>microspheres | Institution  | Study perspective   | Patient characteristics  | Efficacy data (if applicable*, **   | Safety Data  | Comments*  |
|--------------------------------------|---------------|---|-------------------------|--|---|--|---|--|--|
| (Lau et al. 1998j)                   | PLC           | 71  | SIR-Spheres             | Prince of<br>Wales Hospital<br>Shatin, Hong<br>Kong  | October 1992 –<br>December 1995<br>Unclear whether<br>retrospective or<br>prospective   | This is the same group of<br>patients in Group II in (Ho et<br>al. 1997i) above<br>No further details reported   | Not applicable  | N=12 had abdominal<br>distension, discomfort and<br>nausea and vomiting<br>N=10 low grade fever<br>(transient)   | Group II of (Ho et al. 1997i)<br>above – included as more<br>detail on safety than in other<br>publication |
| (Stubbs, Cannan, &<br>Mitchell 2001) | LM            | 50<br>n=27 LM only<br>n=4 also had lung<br>metastases<br>n=43 also received<br>HAC with 5FU | SIR-Spheres             | Wakefield<br>Hospital,<br>Wellington,<br>New Zealand | Feb 1997 – June<br>1999<br>Patients selected<br>from all patients<br>with LM based on<br>presence of liver<br>disease only and<br>fitness for<br>laparotomy | 31M, 19F<br>Mdn age 61.4 (33-76)<br>n=30 had <25% liver<br>involvement (Dose: 2GBq)<br>n13 had 25-50% liver<br>involvement (Dose: 2.5GBq)<br>n=7 had >50% liver<br>involvement (Dose 3GBq) | Efficacy measured by CEA and<br>CT for index lesion only (unclear<br>whether tumour volume, tumour<br>area or one dimension only)<br>CT @ 3Mo (44/50)<br>N=4 increase lesion size<br>N=8 no change (SD)<br>N=32 decrease lesion size<br>CT @ 6Mo (28/50)<br>N=1 increase lesion size<br>N=4 no change (SD)<br>N=23 decrease lesion size<br>Median f/up 25.5 mo (8.6 – 37.5)<br>Median survival from diagnosis<br>of LM 14.5 mo (1.9 – 91.4)<br>Median survival from SIRT 9.8<br>mo (1.0 – 30.3)<br>KM-survival $\pm$ SE (n alive &<br>under observation at time)<br>70 $\pm$ 2.3% @ 6mo (36)<br>45.1 $\pm$ 3.0% @ 12mo (21)<br>36 $\pm$ 3.0% @ 18mo (12)<br>14.7 $\pm$ 3.3% @ 24mo (5)<br>9.8 $\pm$ 3.5% @ 30mo (1) | N=14 (28%) acute pain and<br>nausea requiring trimt with<br>narcotic & antiemetics<br>N=6 duodenal ulcer within 2<br>mo of treatment (?<br>Misperfusion)<br>N=2 had a GI bleed ( 1<br>requiring surgery)<br>All had lethargy and anorexia<br>for 5-6 weeks |  |

#### Table 35 Patient data and results from uncontrolled evidence (continued)

\* LM – Liver metastases; PLC - primary liver cancer; CRC – colorectal cancer; FUDR – floxuridine; CT - computed tomography; US - ultrasound

\*\*Response criteria: CR – complete response; PR: partial response; SD: stable disease; PD: progressive disease; PT: pre-treatment

### Appendix D Excluded studies

Anderson, J.H., Goldberg, J.A., Bessent, R.G., Kerr, D.J., McKillop, J.H., Stewart, I., Cooke, T.G. and McArdle, C.S. (1992) Glass yttrium-90 microspheres for patients with colorectal liver metastases. *Radiotherapy & Oncology* **25**, 137-139. [less than 10 patients]

Anonymous (1991) Two new techniques to treat inoperable liver tumors. *Oncology* (*Huntington*) **5**, 41 [editorial]

Ariel, I.M. and Padula, G. (1978) Treatment of symptomatic metastatic cancer to the liver from primary colon and rectal cancer by the intraarterial administration of chemotherapy and radioactive isotopes. *Journal of Surgical Oncology* **10**, 327-336. [insufficient clinical information]

Ariel, I.M. and Padula, G. (1982) Treatment of asymptomatic metastatic cancer to the liver from primary colon and rectal cancer by the intraarterial administration of chemotherapy and radioactive isotopes. *Journal of Surgical Oncology* **20**, 151-156. [insufficient clinical information]

Burton, M.A., Gray, B.N., Jones, C. and Coletti, A. (1989) Intraoperative dosimetry of 90Y in liver tissue. *International Journal of Radiation Applications & Instrumentation - Part B, Nuclear Medicine & Biology* **16**, 495-498. [dosimetry paper, no clinical data]

Burton, M.A., Gray, B.N., Klemp, P.F., Kelleher, D.K. and Hardy, N. (1989) Selective internal radiation therapy: distribution of radiation in the liver. *European Journal of Cancer* & *Clinical Oncology* **25**, 1487-1491. [dosimetry paper, no clinical data]

Burton, M.A., Gray, B.N., Kelleher, D.K. and Klemp, P.F. (1990) Selective internal radiation therapy: validation of intraoperative dosimetry. *Radiology* **175**, 253-255. [dosimetry paper, no clinical data]

Campbell, A.M., Bailey, I.H. and Burton, M.A. (2000) Analysis of the distribution of intra-arterial microspheres in human liver following hepatic yttrium-90 microsphere therapy. *Physics in Medicine & Biology* **45**, 1023-1033. [no clinical data]

Cao, X., He, N., Sun, J., Tan, J., Zhang, C., Yang, J., Lu, T. and Li, J. (1999) Hepatic radioembolization with Yttrium-90 glass microspheres for treatment of primary liver cancer. *Chinese Medical Journal (English Edition)* **112**, 430-432. [not liver metastases, not SIR-Spheres]

Conzone, S.D., Hafeli, U.O., Day, D.E. and Ehrhardt, G.J. (1998) Preparation and properties of radioactive rhenium glass microspheres intended for in vivo radioembolization therapy. *Journal of Biomedical Materials Research* **42**, 617-625. [technical paper, no clinical data]

Dancey, J.E., Shepherd, F.A., Paul, K., Sniderman, K.W., Houle, S., Gabrys, J., Hendler, A.L. and Goin, J.E. (2000) Treatment of nonresectable hepatocellular carcinoma with intrahepatic 90Y-microspheres. *Journal of Nuclear Medicine* **41**, 1673-1681. [not liver metastases, not SIR-Spheres]

Dong, B.W., Liang, P. and Jing, X.H. (1901) [Combined treatment of hepatic carcinoma: percutaneous intratumoral injection of Y-90 glass treatment microspheres with sonographic guidance]. [Chinese]. *Chung-Hua i Hsueh Tsa Chih [Chinese Medical Journal]* **74**, 471-473. [non-English language]

Ehrhardt, G.J. and Day, D.E. (1987) Therapeutic use of 90Y microspheres. *International Journal of Radiation Applications & Instrumentation - Part B, Nuclear Medicine & Biology* **14**, 233-242. [technical review]

Ensminger, W. (1989) Hepatic arterial chemotherapy for primary and metastatic liver cancers. *Cancer Chemotherapy & Pharmacology* **23 Suppl**, S68-S73 [review]

Erbe, E.M. and Day, D.E. (1993) Chemical durability of Y2O3-Al2O3-SiO2 glasses for the in vivo delivery of beta radiation. *Journal of Biomedical Materials Research* **27**, 1301-1308. [technical paper, no clinical data]

Feinendegen, L.E. (1994) Microdosimetric considerations of hepatic radioembolization. *Journal of Nuclear Medicine* **35**, 1644-1646. [editorial]

Fox, R.A., Klemp, P.F., Egan, G., Mina, L.L., Burton, M.A. and Gray, B.N. (1991) Dose distribution following selective internal radiation therapy. *International Journal of Radiation Oncology, Biology, Physics* **21**, 463-467. [technical paper, no clinical data]

Grady, E.D. (1979) Internal radiation therapy of hepatic cancer. *Diseases of the Colon & Rectum* **22**, 371-375. [primarily review paper, insufficient clinical data]

Grady, E.D., Auda, S.P. and Cheek, W.V. (1981) Vasoconstrictors to improve localization of radioactive microspheres in the treatment of liver cancer. *Journal of the Medical Association of Georgia* **70**, 791-795. [review]

Gray, B.N., Burton, M.A., Kelleher, D.K., Anderson, J. and Klemp, P. (1989) Selective internal radiation (SIR) therapy for treatment of liver metastases: measurement of response rate. *Journal of Surgical Oncology* **42**, 192-196. [insufficient clinical data]

Gray, B.N., Burton, M.A., Kelleher, D., Klemp, P. and Matz, L. (1990) Tolerance of the liver to the effects of Yttrium-90 radiation. *International Journal of Radiation Oncology, Biology, Physics* **18**, 619-623. [less than 10 patients]

Gullberg, G.T., Huesman, R.H., Malko, J.A., Pelc, N.J. and Budinger, T.F. (1985) An attenuated projector-backprojector for iterative SPECT reconstruction. *Physics in Medicine & Biology* **30**, 799-816. [not selective internal radiation therapy]

Ho, S., Lau, W.Y., Leung, T.W., Chan, M., Ngar, Y.K., Johnson, P.J. and Li, A.K. (1996) Partition model for estimating radiation doses from yttrium-90 microspheres in treating hepatic tumours. *European Journal of Nuclear Medicine* **23**, 947-952. [no clinical data]

Ho, S., Lau, W.Y. and Leung, W.T. (1997) Ultrasound guided internal radiotherapy using yttrium-90 glass microspheres for liver malignancies. *Journal of Nuclear Medicine* **38**, 1169-1170. [letter, no clinical data]

Ho, S., Lau, W.Y., Leung, T.W., Chan, M., Chan, K.W., Lee, W.Y., Johnson, P.J. and Li, A.K. (1997) Tumour-to-normal uptake ratio of 90Y microspheres in hepatic cancer

assessed with 99Tcm macroaggregated albumin. *British Journal of Radiology* **70**, 823-828. [technical paper, no clinical data]

Ho, S., Lau, W.Y., Leung, T.W. and Johnson, P.J. (1998) Internal radiation therapy for patients with primary or metastatic hepatic cancer: a review. *Cancer* **83**, 1894-1907. [review]

Ho, S., Johnson, P.J., Leung, W.T. and Lau, W.Y. (1999) Combating hepatocellular carcinoma with an integrated approach. *Chinese Medical Journal (English Edition)* **112**, 80-83. [review]

Houle, S., Yip, T.K., Shepherd, F.A., Rotstein, L.E., Sniderman, K.W., Theis, E., Cawthorn, R.H. and Richmond-Cox, K. (1989) Hepatocellular carcinoma: pilot trial of treatment with Y-90 microspheres. *Radiology* **172**, 857-860. [less than 10 patients]

Huang J.-F., Liang L.-J. and Yin X.-Y. (2000) Hepatic arterial infusion of 32P-glass microspheres (32P-GMS) in the treatment of unresected primary liver carcinoma. *Asian Journal of Surgery* **23**, 80-85. [not yttrium-90 microspheres]

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### Appendix E Calculations

Tables 36, 37 (original analysis) and 38 (re-analysis) show the calculations of tumour response in the HAC plus SIRT versus HAC alone trial. Tumour response is calculated based on the conventional measure of patients with complete or partial response to therapy (CR or PR). Data are from the original analysis, where the primary investigator assessed tumour response and the re-analysis, where a blinded independent reader conducted the assessment of tumour response.

| Response                                  | HAC      | HAC + SIRT |  |
|---|----------|------------|--|
|   | n = 35   | n = 39     |  |
| CR  | 1        | 2          |  |
| PR  | 7        | 16         |  |
| NC  | 12       | 12         |  |
| PD  | 9        | 6          |  |
| Other                                     | 6        | 3          |  |
|   |          |            |  |
| Responders CR + PR                        | 8        | 18         |  |
| Proportion of responders                  | 0.23     | 0.46       |  |
| Difference in proportions                 | 0.23     |            |  |
| 95% Confidence Interval around difference | 0 - 0.43 |            |  |
|   |          |            |  |
| p (Fisher's exact test)                   | 0.06     |            |  |

 Table 36
 Original analysis of tumour response - measured by tumour volume

CR – complete response; PR – partial response; NC – no change; PD – progressive disease

#### Table 37Original analysis of tumour response - measured by tumour area

| Response                                  | HAC         | HAC + SIRT |  |
|---|-------------|------------|--|
|   | n = 35      | n = 39     |  |
| CR  | 0           | 2          |  |
| PR  | 6           | 14         |  |
| NC  | 13          | 15         |  |
| PD  | 8           | 4          |  |
| Other                                     | 8           | 4          |  |
|   |             |            |  |
| Responders CR + PR                        | 6           | 16         |  |
| Proportion of responders                  | 0.17        | 0.41       |  |
| Difference in proportions                 | 0.24        |            |  |
| 95% Confidence Interval around difference | 0.04 - 0.45 |            |  |
|   |             |            |  |
| p (Fisher's exact test)                   | 0.04        |            |  |

CR – complete response; PR – partial response; NC – no change; PD – progressive disease

| Response  | HAC                        | HAC + SIRT |  |
|---|----------------------------|------------|--|
|   | n = 35                     | n = 39     |  |
| CR  | 1                          | 2          |  |
| PR  | 7                          | 16         |  |
| NC  | 11                         | 12         |  |
| PD  | 10                         | 6          |  |
| Other   | 6                          | 3          |  |
|   |                            |            |  |
| Responders CR + PR  | 8                          | 18         |  |
| Proportion of responders                                      | 0.23                       | 0.46       |  |
| Difference in proportions                                     | 0.23                       |            |  |
| 95% Confidence Interval around difference                     | 0.01 – 0.43                |            |  |
|   |                            |            |  |
| p (Fisher's exact test)                                       | 0.05                       |            |  |
| CR - complete response; PR - partial response; NC - no change | ; PD – progressive disease |            |  |

## Table 38Re-analysis of tumour response by blinded independent reader- measured by<br/>tumour area

### Appendix F RECIST criteria

RECIST criteria (Response Evaluation Criteria in Solid Tumours) have been developed as a result of a large international collaboration of the European Organization for Research and Treatment of Cancer (EORTC), the National Cancer Institute (NCI) of the United States and the National Cancer Institute of Canada Clinical Trials Group.

The RECIST process and recommendations are reported extensively in Therasse et al (2000). They include comment on the following areas:

- Measurability of Tumour Lesions at Baseline, including definitions and specifications by different types of measurement (including clinical examination, imaging, tumour markers and histology)
- Tumour Response Evaluation, including baseline evaluation, response criteria (target lesions, non-target lesions, best overall response, frequency of re-evaluation, confirmation, duration of overall response or stable disease), progression-free survival / time to progression
- Response Review
- Reporting of Results
- Response Evaluation in Randomised Phase III trials

The specific areas of the document that are applicable to results reported for SIRT are described below.

**'3.2.3 Evaluation of best overall response**. The best overall response is the best response recorded from the start of treatment until disease progression/recurrence occurs (taking as reference for progressive disease the smallest measurements recorded since treatment started. In general, the patient's best response assignment will depend upon the achievement of both measurement and confirmation criteria.'

 Table 39
 Overall responses for all possible combinations of tumour responses in target and nontarget lesions with or without the appearance of new lesions

| Target lesions | Nontarget lesions        | New lesions | Overall response |
|----------------|--------------------------|-------------|------------------|
| CR             | CR                       | No          | CR               |
| CR             | Incomplete response / SD | No          | PR               |
| PR             | Non-PD                   | No          | PR               |
| SD             | Non-PD                   | No          | SD               |
| PD             | Any                      | Yes or no   | PD               |
| Any            | PD                       | Yes or no   | PD               |
| Any            | Any                      | Yes         | PD               |

**'3.3.1 Confirmation.** The main goal of confirmation of objective response in clinical trials is to avoid overestimating the response rate observed. This aspect of response evaluation is particularly important in nonrandomized trials where response is the primary end point. In this setting, to be assigned a status of partial response or complete response, changes in tumour measurements must be confirmed by repeat assessments
that should be performed no less than 4 weeks after the criteria for response are first met. ...In the case of stable disease, measurements must have met the stable disease criteria at least once after study entry at a minimum interval (in general not less than 6-8 weeks) that is defined in the study protocol. Repeat studies to confirm changes in tumour size may not always be feasible or may not be part of the standard practice in protocols where progression free or overall survival are the key endpoints. In such cases patients will not have a 'confirmed response'. This distinction should be made clear when reporting the outcome of such studies...'

| Characteristic                       | -   | RECIST  |
|--------------------------------------|---|---|
| Measurability of lesions at baseline | Measurable disease  | Measurable disease  |
|                                      | Bidimensional (product of longest diameter (LD) and greatest perpendicular diameter)§                         | Unidimensional (longest diameter (LD) only, size with conventional techniques > 20mm; spiral CT > 10mm)                                     |
|                                      | Nonmeasurable/evaluable disease   | Nonmeasurable disease   |
|                                      | eg lymphangitic pulmonary metastases, abdominal masses)   | all other lesions, including small lesions. Evaluable is not recommended  |
| Objective response                   | Measurable disease  | Target lesions  |
|                                      | Change in sum of products of LDs and greatest perpendicular diameters, no maximum number of lesions specified | Change in sum of LDs, maximum of 5 per organ<br>up to 10 total [more than one organ])   |
|                                      | CR: disappearance of all known disease, confirmed at $\geq$ 4 weeks   | CR: disappearance of all target lesions, confirmed at $\geq$ 4 weeks  |
|                                      | PR: $\geq$ 50% decrease from baseline, confirmed at $\geq$ 4 weeks  | PR: $\geq$ 30% decrease from baseline, confirmed at $\geq$ 4 weeks  |
|                                      | PD: $\geq$ 25% increase of one or more lesions, or appearance of new lesions                                  | PD: $\geq$ 20% increase over smallest sum observed, or appearance of new lesions  |
|                                      | NC: neither PR or PD criteria met   | SD: neither PR or PD criteria met   |
|                                      | Non measurable disease  | Nontarget lesions   |
|                                      | CR: disappearance of all known disease, confirmed at $\geq$ 4 weeks   | CR: disappearance of all target lesions and normalisation of tumour markers, confirmed at $\geq$ 4 weeks                                    |
|                                      | PR: estimated decrease of $\geq$ 50%, confirmed at $\geq$ 4 weeks   |   |
|                                      | PD: estimated increase of $\geq$ 25% in existent lesions, or appearance of new lesions                        | PD: unequivocal progression of nontarget lesions, or appearance of new lesions  |
|                                      | NC: neither PR or PD criteria met   | Non-PD: persistence of one or more nontarget<br>lesions and/or tumour markers above normal<br>limits  |
| Overall response                     | Best response recorded in measurable disease  | Best response recorded in measurable disease<br>from treatment start to disease progression or<br>recurrence                                |
|                                      | NC in nonmeasurable lesions will reduce a CR in measurable lesions to an overall PR                           | Non-PD in nontarget lesion(s) will reduce a CR in target lesions(s) to an overall PR  |
|                                      | NC in nonmeasurable lesions will not reduce a PR in measurable lesions  | Non-PD in nontarget lesion(s) will not reduce a PR in target lesion(s)  |
| Duration of<br>response              | CR<br>From: date CR criteria first met<br>To: date PD first noted   | Overall CR<br>From: date CR criteria first met<br>To: date recurrent disease first noted  |
|                                      | Overall response<br>From: date of treatment start<br>To: date PD first noted                                  | Overall response<br>From: date CR or PR criteria first met (whichever<br>status cane first)<br>To: date recurrent disease or PD first noted |
|                                      | In patients who only achieve a PR, only the period of overall response should be recorded                     | SD<br>From: date of treatment start<br>To: date PD first noted  |

 Table 40
 Comparison of WHO and RECIST guidelines

From (Gehan & Tefft 2000)

LD = longest diameter, CR = complete response, PR = partial response, PD = progressive disease, NC = no change, SD = stable disease § lesions that can only be measured unidimensionally are considered to be measurable (eg mediastinal adenopathy, malignant hepatomegaly)

## Abbreviations

| 5-FU        | 5-flourouracil                                    |  |
|-------------|---|--|
| AST         | aspartate amino-transferase                       |  |
| CEA         | carcinoembryonic antigen                          |  |
| CR          | complete response                                 |  |
| CRC         | colorectal cancer                                 |  |
| СТ          | computed tomography                               |  |
| FLIC        | Functional Living Index - Cancer                  |  |
| FUDR        | floxuridine                                       |  |
| GI          | gastrointestinal                                  |  |
| HAC         | hepatic arterial chemotherapy                     |  |
| ICER        | incremental cost effectiveness ratio              |  |
| ITT         | intention-to-treat                                |  |
| LM          | liver metastases                                  |  |
| LV          | leucovorin  |  |
| LYS         | life year saved                                   |  |
| MAA         | macroaggregated albumin                           |  |
| MERGE       | Method for Evaluating Research Guideline Evidence |  |
| n/a         | not applicable                                    |  |
| NC          | no change   |  |
| PD          | progressive disease                               |  |
| PLC         | primary liver cancer                              |  |
| PR          | partial response                                  |  |
| PT          | pre-treatment                                     |  |
| pts         | patients  |  |
| QALY        | quality-adjusted life year                        |  |
| QOL         | quality of life                                   |  |
| RCT         | randomised controlled trial                       |  |
| RECIST      | Response Evaluation Criteria in Solid Tumours     |  |
| SAE         | serious adverse event                             |  |
| SD          | stable disease                                    |  |
| SIR-Spheres | Selective Internal Radiotherapy-Spheres           |  |
| SIRT        | Selective Internal Radiation Therapy              |  |
| UICC        | Union Internationale Contre le Cancer             |  |

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